Edgar Filing: HOME BANCSHARES INC - Form 4

HOME BAN Form 4	ICSHARES INC										
Form 4 January 21, 2015 FORM 4 UNITED STATES SECURITIES AND EXCHANG Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).						OW I change Act of	NERSHIP OF e Act of 1934, i 1935 or Section	OMB Number: Expires: Estimated a burden hou response			
(Print or Type R	Responses)										
1. Name and A ALLISON J	ddress of Reporting F OHN W	erson <u>*</u>	2. Issuer Symbol HOME I [HOMB]	BANC		Ficker or Ti	-		5. Relationship of Issuer (Checl	Reporting Pers	
(Last) (First) (Middle) 3. Da (Mor				. Date of Earliest Transaction Month/Day/Year) 11/16/2015					X Director X Officer (give below)		Owner er (specify
CONWAY,	(Street) AR 72033		4. If Amen Filed(Mont			e Original			6. Individual or Jo Applicable Line) _X_ Form filed by C Form filed by M Person	one Reporting Pe	rson
(City)	(State) (Zip)	Table	I - Non	ı-De	rivative Se	ecuriti	es Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	nsaction Date 2A. Deemed h/Day/Year) Execution Date, if any			3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A) or					6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial
Common Stock	11/24/2014			Code G		Amount 5,000	(D) D	Price \$ 0	3,756,246	D	
Common Stock	12/10/2014			G	V	6,900	D	\$ 0	3,749,346	D	
Common Stock	12/10/2014			G	V	6,900	А	\$0	427,680	I	By wife
Common Stock	12/31/2014			G	V	15,547	D	\$0	3,747,800 <u>(1)</u>	D	
Common Stock - Restricted	01/16/2015			А		60,000	A	\$0	$\begin{array}{c} 87,332 \\ \underline{(1)} \\ \underline{(2)} \\ \underline{(3)} \\ \underline{(4)} \end{array}$	D	

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Common Stock	8,136	Ι	By IRA
Common Stock	6,725.387 <u>(5)</u>	Ι	By 401(k)
Common Stock	33,664	Ι	By Capital Buyers

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and A Underlying S (Instr. 3 and s	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 3.5					(6)	(6)	Common Stock	712
Stock Option	\$ 3.92					(7)	<u>(7)</u>	Common Stock	1,425
Stock Option	\$ 4.21					(8)	(8)	Common Stock	2,138
Stock Option	\$ 4.92					<u>(9)</u>	<u>(9)</u>	Common Stock	2,851
Stock Option	\$ 5.33					(10)	(10)	Common Stock	3,564
Stock Option	\$ 5.33					07/27/2005	07/27/2015	Common Stock	178,200
Performance Stock Option	\$ 5.54					01/01/2010	03/13/2016	Common Stock	88,957
Stock Option	\$ 8.54					(11)	01/17/2018	Common Stock	35,640
Stock Option	\$ 8.6					(12)	01/09/2018		4,752

				Common Stock	
Stock Option	\$ 10.16	(13)	01/18/2017	Common Stock	47,520
Stock Option	\$ 17.25	01/18/2014	01/17/2023	Common Stock	50,000

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
ALLISON JOHN W								
P.O. BOX 966	Х		Chairman					
CONWAY, AR 72033								
Signatures								
/s/John W. Allison by Rachel Wesson		01/21/20	15					
**Signature of Reporting Person		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person had 14,001 shares vest since the last filing.
- (2) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.
- (3) Restricted Stock granted on January 17, 2014 will vest in 33 1/3% installments over three years each January 17th.
- (4) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.
- (5) Includes 22.031 shares acquired through the Home BancShares, Inc. 401(k) Plan since the last filing.
- (6) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2001 and would have expired on December 31, 2011.
- (7) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2002 and would have expired on December 31, 2012.
- (8) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2003 and would have expired on December 31, 2013.
- (9) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2004 and would have expired on December 31, 2014.
- (10) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2005 and expires on December 31, 2015.
- (11) The option became exercisable in five equal annual installments beginning on January 18, 2009.
- (12) The option became exercisable in five equal annual installments beginning on January 10, 2009.
- (13) The option became exercisable in two equal annual installments beginning on January 19, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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