PEABODY ENERGY CORP

Form 4

February 02, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005

OMB APPROVAL

Section 16. Form 4 or Form 5

SECURITIES

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address Schoch Alexan | * | ng Person * | 2. Issuer Name and Ticker or Trading Symbol PEABODY ENERGY CORP [BTU] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|-----------------------------------|------------------|-------------|--|--|--|--|--|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction | (== an application) | | | |
| 701 MARKET | 01 MARKET STREET | | (Month/Day/Year) 01/29/2015 | Director 10% Owner _X_ Officer (give title Other (specify below) Exec VP & CLO | | | |
| (Street) | | | 4. If Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | | |
| ST. LOUIS, M | O 63101 | | Filed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |

| | | | | | | | | 1 613011 | | | | |
|--------------------------------------|---|---|---|---|--|------------------|-------|--|--|---|--|--|
| (City) | (State) (Z | (Zip) Table I - Non-Derivative Securities Ac | | | | | | quired, Disposed of, or Beneficially Owned | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | Code | V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | | |
| Common Stock | 01/29/2015 | | A(1) | | 2,369 | A | \$0 | 28,773 (2) | D | | | |
| Common Stock | 01/29/2015 | | F | | 916 | D | \$0 | 27,857 (2) | D | | | |
| Common Stock | 01/30/2015 | | G(3) | V | 1,058 | D | \$0 | 26,799 <u>(4)</u> | D | | | |
| Common Stock | 01/30/2015 | | G(3) | V | 1,058 | A | \$0 | 7,259 | I | By Trust | | |
| Common Stock | | | | | | | | 13,151 | I | By 401(k) | | |

Edgar Filing: PEABODY ENERGY CORP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | isable and | 7. Titl | e and | 8. Price of |
|-------------|-------------|---------------------|--------------------|------------|--------------|---------------------|--------------------|---------|----------|-------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transact | tionNumber | Expiration Da | ate | Amou | nt of | Derivative |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) |) Derivative | e | | Securi | ties | (Instr. 5) |
| | Derivative | | | | Securities | 3 | | (Instr. | 3 and 4) | |
| | Security | | | | Acquired | | | | | |
| | | | | | (A) or | | | | | |
| | | | | | Disposed | | | | | |
| | | | | | of (D) | | | | | |
| | | | | | (Instr. 3, | | | | | |
| | | | | | 4, and 5) | | | | | |
| | | | | | | | | | A | |
| | | | | | | | | | Amount | |
| | | | | | | Date Exercisable | Expiration Date | Title 1 | or | |
| | | | | | | | | | Number | |
| | | | | G 1 1 | 7 (4) (5) | | | | of | |
| | | | | Code V | V (A) (D) | | | | Shares | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Schoch Alexander C 701 MARKET STREET ST. LOUIS, MO 63101

Exec VP & CLO

Signatures

Alexander C. Schoch By: Kenneth L. Wagner Attorney-in-Fact

02/02/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities were issued to the reporting person pursuant to the payout of a performance unit award under the issuer's Long-Term Equity Incentive Plan.
- (2) Includes 2,381 shares held by the reporting person in the Company's Employee Stock Purchase Plan.
- (3) This transaction involved a gift of securities by the reporting person to a family trust.
- (4) Includes 1,323 shares held by the reporting person in the Company's Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2