Edgar Filing: HALCON RESOURCES CORP - Form 4

HALCON RESOURCES CORP

Form 4

Common

Stock

December 21, 2015

Check to if no los subject Section Form 4 Form 5 obligation may consee Install (b).	this box neger to 16. or Filed pu Section 17. section 17.	WENT OF CHA rsuant to Section (a) of the Public	URITIES AND EXC ashington, D.C. 20 INGES IN BENEF SECURITIES 16(a) of the Securit Utility Holding Con Investment Compan	ICIAL OWN ties Exchange npany Act of	ERSHIP OF Act of 1934, 1935 or Section	OMB Number: Expires: Estimated a burden hour response			
(Print or Type	Responses)								
1. Name and Address of Reporting Person * Elkouri David S			uer Name and Ticker or I CON RESOURCES]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First)	[HK] (Middle) 3. Date	of Earliest Transaction		Director 10% Owner				
1000 LOUISIANA STREET, SUITE 6700			/Day/Year) /2015	i	_X_ Officer (give titleOther (specify below) EVP, Corp Strategy and CLO				
	(Street)		mendment, Date Origina Ionth/Day/Year)		6. Individual or Joint/Group Filing(Check Applicable Line) X_ Form filed by One Reporting Person				
HOUSTON	N, TX 77002			1	Form filed by Me Person	ore than One Re	porting		
(City)	(State)	(Zip) Ta	ble I - Non-Derivative	Securities Acqu	ired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Transactionor Dispose Code (Instr. 3, 4		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	12/07/2015		G V 45,000	D \$0	361,306 <u>(1)</u>	D			
Common Stock	12/18/2015		P 100,000	\$ A 0.2567	461,306	D			
Common Stock					15,000	I (3)	By IRA		

By IRA

30,000

I

Edgar Filing: HALCON RESOURCES CORP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (Instr.		5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. I Der Sec (In
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Series A Convertible Preferred Stock	\$ 6.16	12/07/2015		G	V		100	06/13/2013	<u>(4)</u>	Common Stock	100	\$

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Elkouri David S 1000 LOUISIANA STREET, SUITE 6700 HOUSTON, TX 77002

EVP, Corp Strategy and CLO

Signatures

David S. Elkouri 12/21/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On May 4, 2015, Halcon declared a stock dividend, payable to all Series A Cumulative Perpetual Convertible Preferred Stock holders of record on May 15, 2015. As a result, the reporting person received 1,243 shares of Halcon stock on June 1, 2015.
- (2) The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices \$0.2511 to \$0.26, inclusive. The reporting person undertakes to provide to Halcon Resources Corporation, any security holder of Halcon Resources Corporation, or staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at

Reporting Owners 2

Edgar Filing: HALCON RESOURCES CORP - Form 4

each separate price within the range set forth in footnote (1) to this Form 4

- (3) This Form 4 no longer includes 21,500 shares that were reported on the reporting person's last Form 4 filing by the reporting person as trustee of the reporting person's daughter's trust.
- (4) The Series A Convertible Preferred Stock has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.