AstroNova, Inc. Form 4 March 15, 2017

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Pizzuti Eric E

(First) (Middle)

C/O ASTRONOVA, INC., 600 E **GREENWICH AVENUE** 

(Street)

2. Issuer Name and Ticker or Trading Symbol

AstroNova, Inc. [ALOT]

3. Date of Earliest Transaction (Month/Day/Year)

03/13/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

3235-0287 Number: January 31, Expires:

**OMB APPROVAL** 

Estimated average burden hours per

**OMB** 

response... 0.5

10% Owner

2005

X\_ Officer (give title \_ Other (specify below) Vice President 6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

Person

Director

W WARWICK, RI 02893

(City)	(State)	(Zip) Tab	le I - Non-I	Derivative	Secu	rities Acc	quired, Disposed	of, or Benefici	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	03/13/2017		F	196 (1)	, ,	\$ 12.85	10,603	D	
Common Stock	03/13/2017		A(2)	491	A	\$0	11,094	D	
Common Stock	03/14/2017		F	7	D	\$ 13.58	11,087	D	
Common Stock	03/14/2017		M	16	A	\$ 0	11,103	D	
Common Stock							1,729	I	Held in Employee Stock

Ownership Plan

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Title and A		
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	of Derivative Securities Acquired	3		Underlying Securities (Instr. 3 and 4)		Derivati Security (Instr. 5
					(A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(3)</u>	03/14/2017		M	16	<u>(4)</u>	<u>(4)</u>	Common Stock	16	\$ 0

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships						
	Director	10% Owner	Officer	Other			

Pizzuti Eric E C/O ASTRONOVA, INC. 600 E GREENWICH AVENUE W WARWICK, RI 02893

Vice President

Dolotionchine

### **Signatures**

/s/ Margaret Boericke, attorney-in-fact for Eric E. Pizzuti

03/15/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the estimated number of shares withheld in connection with the satisfaction of the Reporting Person's tax withholding requirement arising from the vesting of shares under a previously reported award of restricted stock units.

Reporting Owners 2

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- (2) Shares issued in settlement of performance based stock units earned on March 13, 2016. Shares are fully vested when earned.
- (3) Each restricted stock unit represents a contingent right to receive on share of ALOT common stock.
- (4) The remaining restricted stock units vest in two equal installments beginning on March 14, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.