Shacham Sharon Form 4 October 09, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005 Estimated average

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

1(b).

(Print or Type Responses)

(Fillit of Type	(Kesponses)							
1. Name and Shacham S	Address of Reporting Sharon	Symbol	pharm Therapeutics Inc.	Issuer	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
	(First) (YOPHARM EUTICS INC., 85	(Month, 10/08/	of Earliest Transaction /Day/Year) /2018	Director _X_ Officer (give to below) Presi	ititle 10% below) ident & CSO			
NEWTON	(Street) , MA 02459		mendment, Date Original Ionth/Day/Year)	6. Individual or Joi Applicable Line) _X_ Form filed by Or Form filed by Mo Person	ne Reporting Per	son		
(City)	(State)	(Zip) Ta	able I - Non-Derivative Securities	s Acquired, Disposed of,	or Beneficiall	y Owned		
1.Title of Security	2. Transaction Date (Month/Day/Year)		3. 4. Securities Acquire Transactionor Disposed of (D)	red (A) 5. Amount of Securities	6. Ownership	7. Natur Indirect		

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	ities Acquir	ed, Disposed of,	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit onor Dispos (Instr. 3, 4	ed of (	` '	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/08/2018		M <u>(1)</u>	1,764	A	\$ 0.033	715,274	D	
Common Stock	10/08/2018		M(1)	10,736	A	\$ 0.033	726,010	D	
Common Stock	10/08/2018		S <u>(1)</u>	6,500	D	\$ 15.3947 (2)	719,510	D	
Common Stock	10/08/2018		S(1)	6,000	D	\$ 16.1278	713,510	D	

#### Edgar Filing: Shacham Sharon - Form 4

(3)

Common Stock	512,143	I	By Spouse
Common Stock	47,309	I	By GRAT (4)
Common Stock	47,309	I	By Spouse's GRAT (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 0.033	10/08/2018		M <u>(1)</u>	1,764	<u>(6)</u>	10/21/2020	Common Stock	1,764
Stock Option (right to buy)	\$ 0.033	10/08/2018		M(1)	10,736	<u>(6)</u>	10/21/2020	Common Stock	10,736

# **Reporting Owners**

Reporting Owner Name / Address	Ketationships				
	Director	10% Owner	Officer	Other	

Shacham Sharon C/O KARYOPHARM THERAPEUTICS INC. **85 WELLS AVENUE** NEWTON, MA 02459

President & CSO

2 Reporting Owners

### **Signatures**

/s/ Christopher B. Primiano, Attorney-in-Fact for Sharon Shacham

10/09/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These transactions were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
  - Represents the weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$15.00 to \$15.99,
- (2) inclusive. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
  - Represents the weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$16.02 to \$16.26,
- (3) inclusive. The reporting person undertakes to provide upon request by the U.S. Securities and Exchange Commission staff, the issuer or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (4) These shares are held by the Sharon Shacham 2016 Qualified Annuity Interest Trust.
- (5) These shares are held by the Michael G. Kauffman 2016 Qualified Annuity Interest Trust.
- (6) This option, representing a right to purchase a specified number of shares, vested as to 25% of the shares on October 22, 2011, and the remaining 75% vested in 36 equal monthly installments thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3