Edgar Filing: Hendricks William Andrew JR - Form 4

Hendricks V Form 4 April 25, 20	William Andrew JR	-	-						
FORM	ЛЛ							OMB AF	PPROVAL
-	UNITED S	FATES SE	CURITIES A Washington			GE CO	OMMISSION	OMB Number:	3235-0287
Check t if no lor	nger			Expires:	January 31, 2005				
subject Section Form 4 Form 5	to STATEME 16. or		SECU	ERSHIP OF	Estimated a burden hou response	average rs per			
obligati may con <i>See</i> Inst 1(b).	ons Section 17(a)	of the Pub	ion 16(a) of tl lic Utility Hol he Investmen	lding Com	pany	Act of	1935 or Section	1	
(Print or Type	Responses)								
	Address of Reporting Pe William Andrew JR	Syr	Issuer Name an nbol TTERSON U		c	, .	5. Relationship of I Issuer	Reporting Pers	
		[P]	FEN]				(Clicch	an applicable	·)
(Last)	(First) (Mid	Date of Earliest Transaction Month/Day/Year)				X_ Director10% Owner X_ Officer (give title0ther (specify below) below)			
N, SUITE	SAM HOUSTON P 800	KWI ()4,	/23/2019				Pres	ident & CEO	
	(Street)		f Amendment, D ed(Month/Day/Yea	-			6. Individual or Joi Applicable Line) _X_ Form filed by O	ne Reporting Pe	rson
HOUSTON	N, TX 77064					i	Form filed by M Person	ore than One Re	porting
(City)	(State) (Z	ip)	Table I - Non-	Derivative S	Securiti	ies Acqu	ired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)			Code	4. Securitie omr Dispose (Instr. 3, 4	d of (D		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common			Code V	Amount	(D)	Price	(Instr. 5 and 4)		
Common Stock, \$.01 par value per share	04/23/2019		A	69,100 (1)	A	\$ 0	976,641	D	
Common Stock, \$.01 par value per share	04/23/2019		F	27,191 (2)	D	\$ 15.83	949,450	D	
	04/23/2019		А		А	\$0	1,127,450	D	

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Common Stock, \$.01 par value per share			178,000 (<u>3</u>)				
Common Stock, \$.01 par value per share	04/24/2019	F	32,726 (4)	D	\$ 15.83	1,094,724	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Rel				
	Director	10% Owner	Officer	Other		
Hendricks William Andrew JR 10713 W. SAM HOUSTON PKWY N, SUITE 800 HOUSTON, TX 77064	Х		President & CEO			
Signatures						
By Barry Huntsman pursuant to a Limited Power of Attorney filed with the SEC on 4/24/2013 /s/ Barry Huntsman						

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares received in settlement of the 2016 Performance Unit grant.
- (2) Shares disposed to pay applicable withholding taxes on shares received from the 2016 Performance Unit grant.
- (3) Restricted stock units that convert into common stock on a one-for-one basis. One-third of the restricted stock units vests on April 23, 2020 and the remainder vests in equal monthly installments over the twenty-four months following April 23, 2020.
- (4) Shares disposed to pay applicable withholding taxes on Restricted stock units converted into common stock on April 24, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.