### Edgar Filing: WESTERN ALLIANCE BANCORPORATION - Form 4

### WESTERN ALLIANCE BANCORPORATION

Form 4

January 28, 2016

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* MARSHALL TODD

2. Issuer Name and Ticker or Trading

Symbol

WESTERN ALLIANCE BANCORPORATION [WAL] 5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 01/26/2016

X\_ Director 10% Owner Officer (give title Other (specify

C/O WESTERN ALLIANCE BANCORPORATION, ONE E. WASHINGTON STREET, STE 1400

> (Street) 4. If Amendment, Date Original

> > (Month/Day/Year)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

PHOENIX, AZ 85004

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed (Month/Day/Year)

01/26/2016

3. 4. Securities TransactionAcquired (A) or Execution Date, if Code Disposed of (D)

(Instr. 8)

V Code

(Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

Ownership Form: Direct Beneficial (D) or Indirect (I)

(Instr. 4)

D

7. Nature of Indirect Ownership (Instr. 4)

(A) or Amount (D)

Transaction(s) Price

(Instr. 3 and 4)

Reported

Α 4,500 A \$0 61,346

Common

Common

Stock

Stock

Security

(Instr. 3)

Ι The Todd 515,404 Marshall

Trust under the T&C Marshall 1999

Irrevocable

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			Trust				
Common Stock	89,000	I	The Todd Marshall Revocable Trust UAD 4/1/03				
Common Stock	15,949	I	Todd Marshall 2012 IRREV REV TR U/A DTD 11/30/12				
Common Stock	33,069	I	The Todd Marshall 1997 Trust FBO Alexis Victoria Marshall Trust				
Common Stock	33,069	I	The Todd Marshall 1997 Trust FBO Jessica Lauren Marshall Trust				
Common Stock	6,570	I	Alexis V. Marshall Trust 12/27/1996				
Common Stock	6,570	I	Jessica L. Marshall Trust 12/27/1996				
Common Stock	153,826	I	The Arthur Marshall Family 1993 Irrevocable Trust				
Common Stock	30,000	I	The J&A Educational Family LP No. 1				
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.  Persons who respond to the collection of information contained in this form are not							

information contained in this form are not

(9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber Expiration Dat		ate	Amount of		Derivative	Deriv	
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date	Expiration	Title	or Number		
						Exercisable	Date	Title	of		
				Code V	(A) (D)						
				Coue v	(A) (D)				Shares		

## **Reporting Owners**

#### Relationships

MARSHALL TODD C/O WESTERN ALLIANCE BANCORPORATION ONE E. WASHINGTON STREET, STE 1400 PHOENIX, AZ 85004



## **Signatures**

/s/ Dale Gibbons (Attorney-in-fact) 01/28/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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