Edgar Filing: WESTERN ALLIANCE BANCORPORATION - Form 4

WESTERN ALLIANCE BANCORPORATION

Form 4

Common

Common

Stock

Stock

12/07/2016

December 09, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB APPROVAL			
								OMB Number:	3235-0287			
							Expires:	January 31, 2005				
Section 16. Form 4 or				SECURITIES					verage			
Section 17(a	ı) of the F	Public Ut	ility Hold	ing Com	pany	Act of	1935 or Section	on				
onses)												
1. Name and Address of Reporting Person * LUNDY JAMES HARWOOD III			2. Issuer Name and Ticker or Trading Symbol WESTERN ALLIANCE BANCORPORATION [WAL]				5. Relationship of Reporting Person(s) to Issuer					
							(Check all applicable)					
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)				DirectorX Officer (gives below)		Owner er (specify			
ATION, ONE	E.	12/07/20)16				EVP, Ar	izona Administr	ation			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
85004							Form filed by Person	More than One Re	porting			
(State)	(Zip)	Table	e I - Non-D	erivative S	Securit	ies Acqu	uired, Disposed o	of, or Beneficial	ly Owned			
Security (Month/Day/Year) Execution (Instr. 3) any			n Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)				
	Filed purs Section 17(a) onses) ess of Reporting FES HARWOOD (First) (MALLIANCE ATION, ONE N STREET, S' (Street) 85004 (State) (Control of the section o	Filed pursuant to S Section 17(a) of the F 30(h) onses) ess of Reporting Person * ES HARWOOD III (First) (Middle) N ALLIANCE ATION, ONE E. N STREET, STE (Street) 85004 (State) (Zip) Transaction Date 2A. Deem Execution any	STATEMENT OF CHAN Filed pursuant to Section 10 Section 17(a) of the Public Ut 30(h) of the Information 30(h) of the Info	STATEMENT OF CHANGES IN I SECURION SECURION SECURION SECURION SECURION IN SECURIOR IN SECU	STATEMENT OF CHANGES IN BENEFI SECURITIES Filed pursuant to Section 16(a) of the Securiti Section 17(a) of the Public Utility Holding Com 30(h) of the Investment Company Onses) Ess of Reporting Person 2 S HARWOOD III WESTERN ALLIANCE BANCORPORATION [W (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) N ALLIANCE ATION, ONE E. N STREET, STE (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 85004 (State) (Zip) Table I - Non-Derivative S Transaction Date 2A. Deemed 3. 4. Securit Execution Date, if Transaction(A) or Dis any Code (Instr. 3, 4 (Month/Day/Year) (Instr. 8)	STATEMENT OF CHANGES IN BENEFICIAL SECURITIES Filed pursuant to Section 16(a) of the Securities Ex Section 17(a) of the Public Utility Holding Company 30(h) of the Investment Company Act Section 17(a) of the Public Utility Holding Company 30(h) of the Investment Company Act Section 17(a) of the Public Utility Holding Company 30(h) of the Investment Company Act Section 17(a) of the Public Utility Holding Company 30(h) of the Investment Company Act Section 17(a) of the Public Utility Holding Company Act Section 17(a) of the Public Utility Holding Company Symbol Symbol WESTERN ALLIANCE BANCORPORATION [WAL] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 12/07/2016 (Month/Day/Year) 4. If Amendment, Date Original Filed(Month/Day/Year) 12/07/2016 (State) 4. If Amendment, Date Original Filed(Month/Day/Year) 2. Issuer Name and Ticker or Trading Symbol WESTERN ALLIANCE BANCORPORATION [WAL] (State) 7. Table of Earliest Transaction 2. A. If Amendment, Date Original Filed(Month/Day/Year) 2. Issuer Name and Ticker or Trading Symbol WESTERN ALLIANCE BANCORPORATION [WAL] (State) 7. Table of Earliest Transaction 2. A. If Amendment, Date Original Filed(Month/Day/Year) 3. A. Securities Acconth/Day/Year) 2. Execution Date, if Transaction(A) or Disposed any Code (Instr. 3, 4 and 5 (Month/Day/Year) (Instr. 8)	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWN SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Section 17(a) of the Public Utility Holding Company Act of 194 Onses) 2. Issuer Name and Ticker or Trading Symbol WESTERN ALLIANCE BANCORPORATION [WAL] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) N ALLIANCE 12/07/2016 ATION, ONE E. N STREET, STE (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 85004 (State) (Zip) Table I - Non-Derivative Securities Acquired Onth/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) any (Month/Day/Year) (Instr. 8) (A) or	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Onses) Sess of Reporting Person 2 2. Issuer Name and Ticker or Trading Symbol WESTERN ALLIANCE BANCORPORATION [WAL] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) NALLIANCE 12/07/2016 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) STREET, STE (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) STREET, STE (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) STREET, STE (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) STREET, STE (Street) 5. Amount of Securities Acquired, Disposed of Onth/Day/Year) Table I - Non-Derivative Securities Acquired, Disposed of Onth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Onth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Onth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Onth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Onth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Onth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Onth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Onth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Onth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Onth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Onth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of Onth/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired Table Onth/Day/Year)	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 Onses) Ses of Reporting Person 2 S HARWOOD III WESTERN ALLIANCE BANCORPORATION [WAL] (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) N ALLIANCE ATION, ONE E. N STREET, STE (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) STREET, STE (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficial Ponth/Day/Year) (State) (Zip) Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially (D) or Code (Instr. 3, 4 and 5) (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Owned Indirect (I) Following (Instr. 4) (A) Reported Transaction(s) (Instr. 3) and 4)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

Persons who respond to the collection of information contained in this form are not required to respond unless the form

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(1)

48.49 50,831

287 (2)

5,782 D

401K Plan

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displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	TransactionNumb		Expiration Date		Amou	ınt of	Derivative	J
Security	or Exercise			Code	of	(Month/Day/Year)		Under	lying	Security	,
(Instr. 3)	Price of			(Instr. 8)	Derivative			Securities	(Instr. 5)]	
	Derivative				Securities			(Instr. 3 and 4)			(
	Security				Acquired]
					(A) or						J
					Disposed						7
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date		Title Number			
						Exercisable			of		
				Code V	(A) (D)				Shares		
				Couc v	(II)				Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LUNDY JAMES HARWOOD III C/O WESTERN ALLIANCE BANCORPORATION ONE E. WASHINGTON STREET, STE 1400 PHOENIX, AZ 85004

EVP, Arizona Administration 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Signatures

/s/ Dale Gibbons (Attorney-in-fact)

12/09/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$48.45 to \$48.55, inclusive. The reporting person undertakes to provide to Western Alliance Bancorporation, any security holder of Western
- Alliance Bancorporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- (2) Reflects shares held in the 401K Plan to include employer match as of 12/8/16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2