#### GOOD TIMES RESTAURANTS INC

Form 4

November 25, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

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January 31, 2005

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**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5

if no longer

Check this box

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

**BAILEY GEOFFREY R** 

Symbol

(Middle)

**GOOD TIMES RESTAURANTS** 

(Check all applicable)

INC [GTIM]

(Last) (First)

601 CORPORATE CIRCLE

3. Date of Earliest Transaction

Director Officer (give title below)

10% Owner Other (specify

(Month/Day/Year) 11/23/2015

Filed(Month/Day/Year)

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

(Instr. 4)

Form filed by More than One Reporting Person

**GOLDEN, CO 80401** 

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if any

4. 5. Number Transaction of Derivative Expiration Date Code Securities

6. Date Exercisable and (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4)

### Edgar Filing: GOOD TIMES RESTAURANTS INC - Form 4

| (Instr. 3)                           | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. 8) | (A)<br>Dis<br>(D)<br>(Ins | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     |                  |                    |                                      |                                      |
|--------------------------------------|------------------------------------|------------|------------------|------------|---------------------------|--|-----|------------------|--------------------|--------------------------------------|--------------------------------------|
|                                      |                                    |            |                  | Code V     | V (1                      | A)   | (D) | Date Exercisable | Expiration<br>Date | Title                                | Amoun<br>or<br>Numbe<br>of<br>Shares |
| Non<br>Qualified<br>Stock<br>Options | \$ 5.29                            | 11/23/2015 |                  | A          | 3,7                       | 781  |     | 11/23/2016(1)    | 11/23/2025         | Non<br>Qualified<br>Stock<br>Options | 3,781                                |
| Restricted<br>Stock<br>Award         | \$ 0                               | 11/23/2015 |                  | A          | 1,3                       | 324  |     | (2)              | (3)                | Common<br>Stock                      | 1,324                                |

# **Reporting Owners**

| Reporting Owner Name / Address | Kelationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |

BAILEY GEOFFREY R 601 CORPORATE CIRCLE GOLDEN, CO 80401

# **Signatures**

Geoffrey R. 11/25/2015 Bailey

\*\*Signature of Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified Stock Options shall vest over three years, with 1/3 of the total shares granted represented each year beginning one year from date of grant and become fully vested and nonforfeitable on the third anniversary from the grant date
- (2) Restricted Stock shall vest over three years, with 1/3 of the total shares granted represented each year beginning one year from date of grant and become fully vested and nonforfeitable on the third anniversary from the grant date.
- (3) not applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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