Edgar Filing: DAVIS DON H JR - Form 4

DAVIS DON H Form 4											
Ctober 25, 2005 FORM 4 Check this bor if no longer	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANCES IN RENEFICIAL OWNERSHIP OF									PROVAL 3235-0287 January 31, 2005	
subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	Filed pu Section 17	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							Estimated average burden hours per response 0.5		
(Print or Type Respo	onses)										
]			2. Issuer Name and Ticker or Trading Symbol ROCKWELL AUTOMATION INC [ROK]				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 777 EAST WIS AVENUE, SUI		(Middle)	3. Date of (Month/Da 10/25/20	-	ansaction			X Director Officer (give below)		• Owner er (specify	
MILWAUKEE,	(Street) WI 53202			ndment, Dat th/Day/Year)	-					erson	
	(State)	(Zip)	Table	I - Non-D	erivative S	ecuri	ities A.c	Person quired, Disposed of	f or Beneficial	lv Owned	
	Transaction Da onth/Day/Yea	r) Executio any	med	3. Transactic Code (Instr. 8) Code V	4. Securit mAcquired Disposed (Instr. 3, 4	ies (A) o of (D	or))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	-	
Common Stock								85,778.1491 (1) (2)	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Employee stock option (right to buy)	\$ 15.5					10/07/2005	10/07/2012	Common Stock	116,6
Employee stock option (right to buy)	\$ 15.5					10/07/2003	10/07/2012	Common Stock	50,00
Employee stock option (right to buy)	\$ 27.75					10/06/2004 <u>(4)</u>	10/06/2013	Common Stock	233,3
Director stock option (right to buy)	\$ 58.54					02/02/2006 <u>(5)</u>	02/02/2015	Common Stock	1,50
Common Stock Share Equivalents	<u>(6)</u>					(7)	(7)	Common Stock	27,712.

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
DAVIS DON H JR 777 EAST WISCONSIN AVENUE SUITE 1400 MILWAUKEE, WI 53202	Х					
Signatures						
K. A. Balistreri, Attorney-in-Fact for Davis, Jr.	10/25/2005					
** Signature of Reporting Person	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Voluntary Form 4 is being filed to report ownership of 111.1491 shares acquired under a dividend reinvestment plan in transactions exempt under Rule 16a-11.
- (2) 815 shares are held by the Company to implement restrictions on transfer unless and until certain conditions are met.
- (3) Reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.
- (4) 116,667 shares are currently exercisable and 116,667 shares become exercisable on 10/06/06.
- (5) The option vests in three substantially equal annual installments beginning on the date exercisable.

Share equivalents represented by Company stock fund units acquired under the Company's nonqualified savings plan based on information furnished by the Plan Administrator as of 9/15/2005. The number of share equivalents represented by the balance of a

- (6) participant's Company stock fund account may not exactly equal the number of share equivalents represented by a prior balance plus additions due to variances in the proportion of uninvested cash held in the reference fund used to determine unit values of the Company stock fund under the Plan.
- (7) The share equivalents are payable in cash upon retirement or after termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.