Precourt Walter F. III Form 4 March 11, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5 obligations

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

Common

Stock

C/O THE MOSAIC

DRIVE, SUITE E490

1. Name and Address of Reporting Person \* Precourt Walter F. III

(First)

(Middle)

Symbol

2. Issuer Name and Ticker or Trading

MOSAIC CO [MOS]

3. Date of Earliest Transaction (Month/Day/Year)

03/07/2019

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

Applicable Line) \_X\_ Form filed by One Reporting Person

PLYMOUTH, MN 55441

COMPANY, 3033 CAMPUS

(State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported

Issuer

below)

Director

X\_ Officer (give title

6. Ownership 7. Nature of Form: Direct (D) or Indirect (I) (Instr. 4)

D

Indirect Beneficial Ownership (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Senior VP - Strategy & Growth

6. Individual or Joint/Group Filing(Check

Form filed by More than One Reporting

10% Owner

Other (specify

Estimated average

burden hours per

(A) or

Transaction(s) (Instr. 3 and 4)

34,576

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number or Derivative Securities Acquired (or Dispose (D) (Instr. 3, 4, and 5)	(A) ed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 49.66						<u>(1)</u>	10/08/2019	Common Stock	3,419
Stock Option (Right to Buy)	\$ 44.93						<u>(1)</u>	07/27/2020	Common Stock	3,657
Stock Option (Right to Buy)	\$ 70.62						<u>(1)</u>	07/21/2021	Common Stock	1,884
Stock Option (Right to Buy)	\$ 50.43						<u>(1)</u>	03/05/2025	Common Stock	11,192
Stock Option (Right to Buy)	\$ 28.49						<u>(1)</u>	03/03/2026	Common Stock	29,869
Stock Option (Right to Buy)	\$ 30.42						<u>(2)</u>	03/02/2027	Common Stock	33,636
Restricted Stock Units	\$ 0 (3)						03/08/2021	<u>(4)</u>	Common Stock	14,657
Restricted Stock Units	\$ 0 (3)	03/07/2019		A	14,327		03/07/2022	<u>(4)</u>	Common Stock	14,327

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Reporting Owners 2

Precourt Walter F. III C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441

Senior VP - Strategy & Growth

### **Signatures**

/s/ Mark J. Isaacson, Attorney-in-Fact for Walter F. Precourt III

03/11/2019

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Stock Option is 100% exercisable.
- (2) Grant Date 03/02/2017; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (3) One-for-One
- (4) Not Applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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