## Edgar Filing: ROCKWELL AUTOMATION INC - Form 4

ROCKWEI Form 4 January 09,	LL AUTOMATIO 2007	N INC									
FORM	ЛЛ	статғс	SECU	RITIFS /	AND FY	СН	ANCE C	OMMISSION	-	PROVAL	
Check t if no lor subject Section Form 4 Form 5	SECURITIES AND EXCHANGE CO Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWN SECURITIES Section 16(a) of the Securities Exchange					ERSHIP OF	OMB Number: Expires: Estimated a burden hour response				
obligati may cor <i>See</i> Inst 1(b).	ntinue. Section 17(			•	•	-	ny Act of .ct of 1940	1935 or Section	I		
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> GELLY JAMES V								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle)			3. Date of Earliest Transaction					Director 10% Owner Officer (give title Other (specify below) below) Sr. VP and CFO			
			Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
MILWAU	KEE, WI 53204							Form filed by Mo Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivativ	e Secu	irities Acqu	iired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if		omr Dispos (Instr. 3,	sed of 4 and (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock				Code V	Amount	(D)	Price	322.01	Ι	By Savings Plan <u>(1)</u>	
Common Stock	01/08/2007			S	1,743 (2)	D	\$ 59.9008	22,895.5102	D <u>(3)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
GELLY JAMES V 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204			Sr. VP and CFO				
Signatures							

## **Explanation of Responses:**

*	If the form is filed by more than one reporting person, <i>see</i> Instruction 4(b)(v).
**	Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
(1)	Shares represented by Company stock fund units acquired under the Company Savings Plan based on information furnished by the Plan Administrator as of 11/30/2006.

- (2) Sale of shares effected pursuant to Rule 10b5-1 trading plan established for estate planning and diversification purposes.
- (3) 5,800 shares are held by Company to implement restrictions on transfer unless and until certain conditions are met.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.