JMP Group Inc. Form 4 April 01, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting<br>Tongue Glenn H | g Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer   |  |  |  |
|--|------------|--|--|--|--|--|
|  |            | JMP Group Inc. [JMP]                               | (Check all applicable)   |  |  |  |
| (Last) (First)                                     | (Middle)   | 3. Date of Earliest Transaction                    |  |  |  |  |
|  |            | (Month/Day/Year)                                   | Director 10% Owner   |  |  |  |
| 152 WEST 57TH STREET, 46TH FLOOR                   |            | 03/31/2014   | Officer (give title Other (specify below)  |  |  |  |
| (Street)  NEW YORK, NY 10019                       |            | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Che  |  |  |  |
|  |            | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |
| (City) (State)                                     | (Zin)      |  |  |  |  |  |

| (City)                               | (State) (Z                              | Zip) Table                        | I - Non-D         | erivative Securities                            | Acquired, Disposed                               | of, or Beneficia                 | lly Owned                        |
|--------------------------------------|---|-----------------------------------|-------------------|---|--|----------------------------------|----------------------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed Execution Date, if any | 3.<br>Transaction | 4. Securities onAcquired (A) or Disposed of (D) | 5. Amount of Securities Beneficially             | 6. Ownership Form: Direct (D) or | 7. Nature of Indirect Beneficial |
| (21021.0)                            |   | (Month/Day/Year)                  | (Instr. 8)        | (Instr. 3, 4 and 5)  (A) or                     | Owned<br>Following<br>Reported<br>Transaction(s) | Indirect (I)<br>(Instr. 4)       | Ownership<br>(Instr. 4)          |
| Common<br>Stock                      | 03/31/2014                              |                                   | Code V<br>M       | 2.649   | (Instr. 3 and 4) 0 50,252                        | D                                |                                  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: JMP Group Inc. - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number<br>on Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | Expiration Date Ur  |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Property Section (Institute of Section (I |
|---|---|---|---|--|---|---------------------|--------------------|---|--|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |
| Restricted<br>Stock Unit                            | (2)   | 03/31/2014                              |   | M                                      | 2,649   | (3)                 | (3)                | Common<br>Stock   | 2,649                                  |  |

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Tongue Glenn H 152 WEST 57TH STREET, 46TH FLOOR NEW YORK, NY 10019

# **Signatures**

Scott Solomon on behalf of Glenn H.
Tongue

04/01/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquisition of common stock resulting from the vesting of 25% of the restricted stock units granted on March 20, 2014.
- (2) Each unit represents a right to receive one share of common stock or an amount equal to the fair market value of one share of common stock on the applicable vesting date.
- (3) Reflects vesting of restricted stock units described above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2