SWIFT ENERGY CO

Form 4 April 26, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

10% Owner

Other (specify

Issuer

below)

Director

Officer (give title

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations may continue.

(Middle)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

SWIFT ENERGY CO [SFYWQ]

3. Date of Earliest Transaction

(Month/Day/Year)

04/22/2016

Symbol

1(b).

(Last)

SUITE 100

(Print or Type Responses)

SMITH CLYDE W JR

1. Name and Address of Reporting Person *

(First)

17001 NORTHCHASE DRIVE,

See Instruction

HOUSTON, TX 77060	SCIIL 100										
City	HOUSTON T		, e				Applicable Line) _X_ Form filed by One Reporting Person				
1.Title of Security (Instr. 3) 2. Transaction Date Security (Instr. 3) (Instr. 3) 2. Transaction Date (Month/Day/Year) (Instr. 3) 2. Transaction Date (Month/Day/Year) (Instr. 3) Execution Date, if any (Month/Day/Year) (Instr. 8) Code (Instr. 3, 4 and 5) Company Company Company Common Stock SFY Cmmn Stk-Family O4/22/2016 O4/22/2016 D6/20 D6/20 D7/2,247 D Held In Son's Roth IRA Held in Spouse's Roth IRA Company Com	110031011, 1	1A 77000						Person			
Security (Instr. 3) (Instr. 3, 4 and 5) (Instr. 3, 4 and 4) (Instr. 4) (Instr. 3, 4 and 4) (Instr. 3, 4 and 4) (Instr. 4) (Instr. 3 and 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 4) (Instr. 4) (Instr. 3 and 4) (Instr. 4) (Instr. 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 4) (Instr. 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 4) (Instr. 4) (Instr. 3 and 4) (Instr. 3 and 4) (Instr. 4) (Instr. 3 and 4)	(City)	(State) (Zi	p) Table I	able I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owner							
Code V Amount O Price Transaction(s) (Instr. 3 and 4)	Security		Execution Date, if any	ate, if Transaction(A) or Disposed of Code (D)			of	Securities Beneficially Owned Following	Ownership Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$				Code V	Amount	or	Price	Transaction(s)	(Ilisti. 4)		
Stk-Family Member $04/22/2016$ $D(2)$ $1,000$ D \$ 0 0 I Son's Roth IRA Swift Energy Company Common $04/22/2016$ $D(2)$ $25,000$ D \$ 0 0 I Spouse's Roth IRA	Company Common	04/22/2016		J <u>(1)</u>		D	\$ 0	72,247	D		
Company Common $04/22/2016$ $D^{(2)}$ $D^{(2)$	Stk-Family	04/22/2016		D(2)		D	\$ 0	0	I	Son's Roth	
	Company Common	04/22/2016		D(2)		D	\$ 0	0	I	Spouse's	

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Swift Energy

Company Common $D^{(2)} = D^{(2)} =$

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SMITH CLYDE W JR 17001 NORTHCHASE DRIVE, SUITE 100 HOUSTON, TX 77060

Signatures

Laurent A. Baillargeon, POA for Clyde W. Smith, Jr.

04/26/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to Section 503(c) of the Bankruptcy Code, the reporting person's Restricted Stock awards were cancelled upon the Issuer's emergence from bankruptcy, including shares which were prohibited from vesting during the time that the Issuer was in bankruptcy.

(2)

Reporting Owners 2

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Pursuant to the Plan of Reorganization approved by the Board of Directors and filed with the bankruptcy court, the Issuer's common stock (SFYWQ) was cancelled on April 22, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.