## Edgar Filing: S&W Seed Co - Form 4

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Form 4											
June 11, 201										PPROVAL	
	UNITED	STATES		LITIES A Shington,			NGE (	COMMISSION	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o	statem 6.							Expires: Estimated a burden hou response	irs per		
Form 5 obligatio may cont <i>See</i> Instru 1(b).	ns Section 17(a	a) of the	Public Ut		ing Con	ipany	Act o	ge Act of 1934, f 1935 or Sectio 40	n		
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> FLEMING MICK			2. Issuer Name <b>and</b> Ticker or Trading Symbol S&W Seed Co [SANW]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N	/liddle)	3. Date of Earliest Transaction (Check					k all applicable)			
1420 FIFTH	I AVENUE, SUIT	ГЕ 4100	(Month/D 06/07/20	-				X_ Director Officer (give below)		6 Owner er (specify	
				f Amendment, Date Original ed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
	WA 98101							Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Securi	ities Aco	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	med on Date, if Day/Year)	3. Transactio Code (Instr. 8)	on(A) or Di (D) (Instr. 3,	4 and (A) or	d of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock, \$0.001 par value	06/07/2013			Code V P		(D) A	Price \$ 8.31	1,000	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. onNumber	6. Date Exerce Expiration D		7. Titl Amor		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(Mondu Day Teat)	(Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	(Month/Day/ e		Amount of Underlying Securities (Instr. 3 and 4)		Security Sec (Instr. 5) Ber Ow Fol Rej Tra	Secur Bene Owne Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
FLEMING MICK 1420 FIFTH AVENUE, SUITI SEATTLE, WA 98101	E 4100	Х						
Signatures								
Michael M. Of Fleming	5/11/201	3						
<u>**</u> Signature of Reporting Person	Date							

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.