Edgar Filing: Jury Dennis Charles - Form 4/A

Jury Dennis Form 4/A	Charles										
August 09, 2	2018										
FORM	14 UNITED S	STATES S					COMMISSIO		3 APPROVAL . 3235-0287		
Check this box Washington, D.C.					D.C. 205	C. 20549			: January 31		
if no lon subject t Section Form 4 o Form 5 obligatio may con	o STATEM 16. or Filed purs ^{ons} Section 17(a	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						Estimate burden l respons	2005 ed average nours per		
<i>See</i> Instr 1(b).	ruction	50(II) 0	n uie in	vestment	compan	y Act of 12	0				
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> Jury Dennis Charles			2. Issuer Name and Ticker or Trading Symbol S&W Seed Co [SANW]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (M	fiddle) 3	3. Date of Earliest Transaction (Chee					eck all applic	ck all applicable)		
5 LOCHNESS AVENUE			(Month/Day/Year) 07/01/2018				Director 10% Owner X Officer (give title Other (specify below) EVP Operations & COO				
TORRENS	(Street) PARK SA, C3 50	I (ndment, Da th/Day/Year))18			6. Individual or Applicable Line) _X_ Form filed by Form filed by Person	y One Reportin	g Person		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securities Ac	quired, Disposed	of. or Benefi	cially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	ed Date, if	3. Transactio Code (Instr. 8) Code V	4. Securit nAcquired Disposed (Instr. 3, 4	ies (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		p 7. Nature of		
Common Stock				Coue V	Amount	(D) The	225,838 <u>(1)</u>	I	By Retirement Fund <u>(2)</u>		
Common Stock							15,000 <u>(1)</u>	Ι	By Family Trust <u>(3)</u>		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Jury Dennis Charles 5 LOCHNESS AVENUE TORRENS PARK SA, C3 5062			EVP Operations & COO					
Signatures								

/c/ Dennis C. 08/09/2018 Jury **Signature of

Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The original Form 4, filed on July 3, 2018, is being amended by this Form 4 amendment solely to correct an administrative error, which misreported the name of the transfer of 15,000 directly-held shares to indirect ownership of such shares. In all other respects the original (1) Form 4 remains unchanged.
- These shares are owned directly by the Jury Bain Superannuation Fund, a retirement fund directed by the reporting person and under (2) which he is a beneficiary.
- These shares are owned directly by Jury Family Nominees Pty Ltd., which is the corporate trustee of the Jury Bain Family Trust A/C. The (3) reporting person is a joint trustee and a beneficiary of the family trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.