WHANG JONG S

Form 4

November 02, 2007

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#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* WHANG JONG S

2. Issuer Name and Ticker or Trading Symbol

AMTECH SYSTEMS INC [ASYS]

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

C/O AMTECH SYSTEMS,

(Street)

(First)

3. Date of Earliest Transaction

(Month/Day/Year) 11/01/2007

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below)

INC., 131 SOUTH CLARK DRIVE

(Middle)

President and CEO 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**TEMPE, AZ 85281** 

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial Ownership (Month/Day/Year) Owned (Instr. 8) (D) or Indirect (I) Following (Instr. 4) Reported (Instr. 4) (A) Transaction(s)

Amtech Systems,

common stock

Inc. \$.01 11/01/2007 par value

15,000 A

(D)

Price

Code V Amount

M

(Instr. 3 and 4)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orDeriva Securi Acquir	ties red (A) posed of 3, 4,	6. Date Exercisab Expiration Date (Month/Day/Year	on Date Underlying		Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Option to purchase \$.01 par value common stock (1)	\$ 6.5315	11/01/2007		M		15,000	03/15/2002(2)	03/15/2011	Amtech Systems, Inc. \$.01 par value common stock	15,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
Troporting of their remarks	Director	10% Owner	Officer	Other
WHANG JONG S C/O AMTECH SYSTEMS, INC. 131 SOUTH CLARK DRIVE TEMPE, AZ 85281	X		President and CEO	

### **Signatures**

By Robert T. Hass on behalf of J.S. Whang by power of attorney

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Incentive stock option granted pursuant to the Amtech Systems, Inc. 1998 Employee Stock Option Plan.
- (2) These options were part of an option to purchase 150,000 shares, granted on March 15, 2001, of which 20% vested per year on each of the first five anniversaries of the grant date.
- (3) This is an exercise of a stock option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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