#### Edgar Filing: ADVANCED ENERGY INDUSTRIES INC - Form 4/A

#### ADVANCED ENERGY INDUSTRIES INC

Form 4/A June 03, 2005

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

**OMB** Number:

3235-0287

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**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

	of Reporting Person * LAS S & SCHATZ	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
JILL E FAMILY	TRUST	ADVANCED ENERGY INDUSTRIES INC [AEIS]	(Check all applicable)		
(Last) (F	irst) (Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/22/2005	Director X 10% Owner Officer (give title below) Other (specify below)		
(S	treet)	4. If Amendment, Date Original Filed(Month/Day/Year) 04/22/2005	6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person		
FORT COLLINS	, CO 80522	0112212000	_X_ Form filed by More than One Reporting Person		

(City)	(State) (Z	Zip) Table	I - Non-De	erivative S	ecurities Ac	quired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	Execution Date, if	3. Transactio	•	(A) or	5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)		any (Month/Day/Year)	(Instr. 8)	Disposed (Instr. 3,	` ′	Owned Following	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D) Price	Reported Transaction(s) (Instr. 3 and 4)	(mstr. 1)	(msu. 1)
Common Stock						10,657,782 <u>(1)</u> <u>(2)</u>	D	
Common Stock						26,350 (3)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Title		8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transac	ctionNumber	Expiration D	ate	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8	<ol><li>Derivativ</li></ol>	ve .		Securi	ties	(Instr. 5)
	Derivative				Securitie	S		(Instr.	3 and 4)	
	Security				Acquired	1				
					(A) or					
					Disposed	1				
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration		or	
						Exercisable Date	•		Number	
						2	2		of	
				Code	V (A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
SCHATZ DOUGLAS S & SCHATZ JILL E FAMILY TRUST P.O. BOX 481 FORT COLLINS, CO 80522		X				
SCHATZ DOUGLAS S P.O. BOX 481 FORT COLLINS, CO 80522	X	X	Chief Executive Officer			
Schatz Jill E P.O. BOX 481 FORT COLLINS, CO 80522		X				

# **Signatures**

Douglas S. Schatz	05/31/2005
**Signature of Reporting Person	Date
Douglas S. Schatz - Co-Trustee of the Douglas S. Schatz and Jill E. Schatz Family Trust	05/31/2005
**Signature of Reporting Person	Date
Douglas S. Schatz - Attorney-in-Fact for Jill E. Schatz	05/31/2005
**Signature of Reporting Person	Date

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned directly by the Douglas S. Schatz & Jill E. Schatz Family Trust, a ten percent owner of the issuer, and indirectly by Douglas S. Schatz (as attorney-in-fact of Jill E Schatz) and Jill E. Schatz, co-trustees of the trust.
- (2) The Form 4 filed on April 22, 2005 reported sales of an aggregate of 30,000 shares of common stock, which sales were not effected.
- (3) Represents shares of restricted stock units held directly by Douglas S. Schatz.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.