Behar Jerome M Form 4 February 14, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

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January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Behar Jerome M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

WORKIVA INC [WK]

(Check all applicable)

(Last) (First) (Middle)

2900 UNIVERSITY BOULEVARD

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director

\_X\_\_ 10% Owner \_ Other (specify

02/12/2018

Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

> Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

AMES, IA 50010

(City)	(State)	(Zip) Tabl	e I - No	n-D	erivative S	Securi	ties Acqu	ired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (Instr.		4. Securit n(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	02/12/2018		Code	V	Amount 25,000	(D)	Price \$ 24.11 (1)	3,399,175	I	By Behar Living Trust (2)
Class A Common Stock	02/13/2018		S		3,598	D	\$ 24.6	3,395,577	I	By Behar Living Trust (2)
Class A Common Stock	02/13/2018		G	V	1,050	D	\$ 0	3,394,527	I	By Behar Living Trust (2)
Class A Common Stock	02/14/2018		S		46,402	D	\$ 24.6 (3)	3,348,125	I	By Behar Living Trust (2)

Class A

Common 3,065 I By son Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Behar Jerome M 2900 UNIVERSITY BOULEVARD AMES, IA 50010		X					
Behar Living Trust 2900 UNIVERSITY BOULEVARD AMES, IA 50010		X					
Behar Leslie F. 2900 UNIVERSITY BOULEVARD AMES, IA 50010		X					

# **Signatures**

/s/ Jerome M. Behar	02/14/2018		
**Signature of Reporting Person	Date		
/s/ Jerome M. Behar as trustee for The Behar Living Trust	02/14/2018		

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#### Edgar Filing: Behar Jerome M - Form 4

\*\*Signature of Reporting Person

Date

/s/ Leslie Fried Behar

02/14/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The price reported in Column 4 is a weighted-average price. The prices actually received range from \$24.05 to \$24.20. For all
- (1) transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- (2) Shares are owned directly by The Behar Living Trust (a 10% owner of the issuer), and indirectly by Jerome M. Behar and Leslie F. Behar as settlers of the Behar Living Trust.
  - The price reported in Column 4 is a weighted-average price. The prices actually received range from \$24.60 to \$24.65. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the
- (3) SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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