Ricotta Dominic Form 4 February 05, 2019

## FORM 4

Section 16.

Form 4 or

Form 5

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction 1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

#### **OMB APPROVAL**

OMB 3235-0287 Number:

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(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Ricotta Dominic

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

APACHE CORP [APA]

(Check all applicable)

(First) 2000 POST OAK BLVD., STE 100

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

02/01/2019

Director 10% Owner X\_ Officer (give title Other (specify

below) Sr. Vice President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HOUSTON, TX 77056

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	cution Date, if Transaction Code			cquired d of (D) 5)	Beneficially Form Owned (D) o Following Indire Reported (Instrumental Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	02/01/2019		$M_{\underline{(1)}}$	2,710	A	\$0	19,024	D		
Common Stock	02/01/2019		F(2)	1,067	D	\$ 32.65	17,957	D		
Common Stock	02/01/2019		M	1,806	A	\$ 0 (3)	19,763	D		
Common Stock	02/01/2019		D(3)	1,806	D	\$ 32.65	17,957	D		
Common Stock	02/03/2019		M(4)	2,562	A	\$ 0	20,519	D		

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Common Stock	02/03/2019	F(2)	1,009	D	\$ 32.65	19,510	D	
Common Stock						5,957.559	I	Held by Trustee 401(k) Plan
Common Stock						12,243.933	I	Held by Trustee NQ Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock / Units	\$ 0 (5)	02/01/2019		M		2,710	<u>(1)</u>	<u>(1)</u>	Common Stock	2,710	\$
Restricted Stock / Units	\$ 0 (3)	02/01/2019		M		1,806	<u>(6)</u>	<u>(6)</u>	Common Stock	1,806	\$ (
Restricted Stock / Units	\$ 0 (5)	02/03/2019		M		2,562	<u>(4)</u>	<u>(4)</u>	Common Stock	2,562	\$

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Director 10% Owner Officer Other

Sr. Vice President

Reporting Owners 2 Ricotta Dominic 2000 POST OAK BLVD. STE 100 HOUSTON, TX 77056

## **Signatures**

Raj Sharma, 02/05/2019 Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting on 02/01/2019 of restricted stock units under the employer plan. Vesting occurs ratably over three years.
- (2) Shares withheld to cover required tax withholding on vesting of restricted stock.
- (3) Each restricted stock unit is the economic equivalent of one share of the Issuer's common stock and can only be settled in cash
- (4) Vesting on 02/03/2019 of restricted stock units under employer plan. Vesting occurs ratably over three years.
- (5) One share of Apache common stock for each restricted stock unit.
- (6) Vesting on 02/01/2019 of cash-based restricted stock units granted under employer plan. Vesting occurs ratably over three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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