

Bay Annell R  
Form 4  
April 01, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Bay Annell R

(Last) (First) (Middle)

2000 POST OAK BLVD., SUITE  
100

(Street)

HOUSTON, TX 77056

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

APACHE CORP [APA]

3. Date of Earliest Transaction  
(Month/Day/Year)

03/31/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

Director

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                          | Amount                                                                                                             | (D)                                                                  | Price                                                             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
**(e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of<br>Derivative<br>Security | 2. Conversion<br>or Exercise | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any | 4. Transaction<br>Code | 5. Number of<br>Derivative<br>Securities | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>Underlying Securities<br>(Instr. 3 and 4) |
|---------------------------------------|------------------------------|-----------------------------------------|-----------------------------------------|------------------------|------------------------------------------|----------------------------------------------------------------|------------------------------------------------------------------|
|---------------------------------------|------------------------------|-----------------------------------------|-----------------------------------------|------------------------|------------------------------------------|----------------------------------------------------------------|------------------------------------------------------------------|

## Edgar Filing: Bay Annell R - Form 4

| (Instr. 3)                     | Price of<br>Derivative<br>Security | (Month/Day/Year) | (Instr. 8) | Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) |       |       |                       |                    |                 |                                        |  |
|--------------------------------|------------------------------------|------------------|------------|---------------------------------------------------------------|-------|-------|-----------------------|--------------------|-----------------|----------------------------------------|--|
|                                |                                    |                  | Code       | V                                                             | (A)   | (D)   | Date Exercisable      | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |  |
| Phantom<br>Stock<br>Units      | \$ 0 <u>(1)</u>                    | 03/31/2019       | M          |                                                               | 1,442 |       | <u>(2)</u>            | <u>(2)</u>         | Common<br>Stock | 1,442                                  |  |
| Restricted<br>Stock /<br>Units | \$ 0 <u>(3)</u>                    | 03/31/2019       | A          |                                                               | 1,442 |       | 03/31/2019 <u>(4)</u> | <u>(4)</u>         | Common<br>Stock | 1,442                                  |  |
| Restricted<br>Stock /<br>Units | \$ 0 <u>(3)</u>                    | 03/31/2019       | M          |                                                               |       | 1,442 | 03/31/2019 <u>(5)</u> | <u>(5)</u>         | Common<br>Stock | 1,442                                  |  |

## Reporting Owners

| Reporting Owner Name / Address                                        | Relationships |           |          |       |
|-----------------------------------------------------------------------|---------------|-----------|----------|-------|
|                                                                       | Director      | 10% Owner | Officer  | Other |
| Bay Annell R<br>2000 POST OAK BLVD.<br>SUITE 100<br>HOUSTON, TX 77056 | X             |           | Director |       |

## Signatures

Raj Sharma,  
Attorney-in-Fact

04/01/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) One share of Apache common stock for each phantom stock unit.

(2) Exempt acquisition pursuant to Rule 16b-3(d). Accrued under the deferred compensation provisions of Apache's 2016 Omnibus Compensation Plan (non-employee directors' deferral program).

(3) One share of Apache common stock for each restricted stock unit.

(4) Restricted stock units granted to each of Apache's non-employee directors under 2016 Omnibus Compensation Plan, which plan was approved by stockholders in May 2016.

(5) Vesting of restricted stock units granted to each of Apache's non-employee directors under 2016 Omnibus Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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