

Edgar Filing: AMERIPRISE FINANCIAL INC - Form SC 13G

AMERIPRISE FINANCIAL INC

Form SC 13G

January 21, 2016

UNITED STATES
SECURITIES EXCHANGE COMMISSION
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934
(Amendment No.) *

Ameriprise Financial, Inc.

(Name of Issuer)

Common Stock

(Title of Class of Securities)

03076C106

(CUSIP Number)

January 13, 2016

(Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

☐ Rule 13d-1(b)

☒ Rule 13d-1(c)

☐ Rule 13d-1(d)

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

Continued on following pages
Page 1 of 5 Pages

Edgar Filing: AMERIPRISE FINANCIAL INC - Form SC 13G

SCHEDULE 13G

CUSIP No.: 03076C106

Page 2 of 5 Pages

1. Names of Reporting Persons.

I.R.S. Identification Nos. of above persons (entities only).

NORGES BANK (THE CENTRAL BANK OF NORWAY)

2. Check the Appropriate Box if a Member of a Group

(a) ☐

(b) ☐ N/A

3. SEC Use Only

4. Citizenship or Place of Organization

NORWAY

Number of	5.	Sole Voting Power	8,933,256
Shares			

Beneficially		
Owned by Each		
Reporting	6.	
Person With	Shared Voting Power	0

7.	Sole Dispositive Power	8,933,256
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8.	Shared Dispositive Power	0
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9. Aggregate Amount Beneficially Owned by Each Reporting Person

8,933,256

10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares (See Instructions)

N/A

11. Percent of Class Represented by Amount in Row (9)

5.13% based on 174,111,562 outstanding common shares as per Form 10-Q published on November 02, 2015.

12. Type of Reporting Person:

OO

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CUSIP No.: 03076C106

Page 3 of 5 Pages

Item 1(a). Name of Issuer:
Ameriprise Financial, Inc. (the "Issuer").

Item 1(b). Address of Issuer's Principal Executive Offices:
1099 Ameriprise Financial Center
Minneapolis, MN 55474
USA

Item 2(a). Name of Person Filing:

This Statement is filed on behalf of each of the following persons (collectively, the "Reporting Persons"):

NORGES BANK (CENTRAL BANK OF NORWAY)

Item 2(b). Address of Principal Business Office or, if None, Residence:
Bankplassen 2
PO Box 1179 Sentrum
NO 0107 Oslo
Norway

Item 2(c). Citizenship:
Norwegian

Item 2(d). Title of Class of Securities:

Common Stock

Item 2(e). CUSIP Number:

03076C106

Item 3. If This Statement is Filed Pursuant to ss.ss.240.13d-1(b) or 240.13d-2(b) or (c),

Check Whether the Person Filing is a:

This Item 3 is not applicable.

Item 4(a)-(c)(iv). Ownership:

Items 5-11 of the cover pages are incorporated by reference.

CUSIP No.: 03076C106

Page 4 of 5 Pages

Item 5. Ownership of Five Percent or Less of a Class:

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be

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the beneficial owner of more than five percent of the class securities, check the following [].

Item 6. Ownership of More than Five Percent on Behalf of Another Person:

This Item 6 is not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company:

This Item 7 is not applicable.

Item 8. Identification and Classification of Members of the Group:

This Item 8 is not applicable.

Item 9. Notice of Dissolution of Group:

This Item 9 is not applicable.

Item 10. Certification:

By signing below each of the Reporting Persons certifies that, to the best of their knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

CUSIP No.: 03076C106

Page 5 of 5 Pages

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

NORGES BANK

Date: January 21, 2016

By: /s/ Sai Aanandha Shankhar

Name: Sai Aanandha Shankhar

Title: Analyst