APPLIED GENETIC TECHNOLOGIES CORP Form SC 13G/A February 15, 2017 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SCHEDULE 13G

**Under the Securities Exchange Act of 1934** 

(Amendment No. 4)\*

#### Applied Genetic Techno Corp

(Name of Issuer)

#### Common Stock

(Title of Class of Securities)

### 03820J100

(CUSIP Number)

#### December 31, 2016

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)\*\*

Rule 13d-1(c)\*\*

Rule 13d-1(d)

The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to \*the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

This Schedule is being filed pursuant to Rule 13d-1(b) with respect to Visium Asset Management, LP, JG Asset, \*\*LLC and Jacob Gottlieb, and pursuant to Rule 13d-1(c) with respect to certain advisory clients of Visium Asset Management, LP.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

### CUSIP No. 012423109

## Page 2 of 11 Pages

NAMES OF REPORTING PERSONS

I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (Entities Only)

Visium Asset Management, LP CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

**2** (a)

(b)

SEC USE ONLY

3

1

CITIZENSHIP OR PLACE OF ORGANIZATION

4

**United States** 

NUMBER OF SOLE VOTING POWER

**SHARES** 

BENEFICIALLY 5None

OWNED BY

**EACH** 

**6**SHARED VOTING POWER

REPORTING

**PERSON** 0 (See Item 4) WITH SOLE DISPOSITIVE POWER 7 None **8**SHARED DISPOSITIVE POWER 0 (See Item 4) AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 9 0 (See Item 4) CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES **CERTAIN SHARES\*** 10 Not Applicable PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9) 11 0%

12

TYPE OF REPORTING PERSON\*

Page 2 of 11 Pages

### CUSIP No. 012423109

## Page 3 of 11 Pages

NAMES OF REPORTING PERSONS

I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (Entities Only)

JG Asset, LLC CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

**2** (a)

(b)

SEC USE ONLY

3

1

CITIZENSHIP OR PLACE OF ORGANIZATION

4

**United States** 

NUMBER OF SOLE VOTING POWER

**SHARES** 

BENEFICIALLY 5None

OWNED BY

**EACH** 

**6**SHARED VOTING POWER

REPORTING

**PERSON** 0 (See Item 4) WITH SOLE DISPOSITIVE POWER 7 None **8**SHARED DISPOSITIVE POWER 0 (See Item 4) AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 9 0 (See Item 4) CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES **CERTAIN SHARES\*** 10 Not Applicable PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9) 11 0% TYPE OF REPORTING PERSON\*

12

HC, OO

Page 3 of 11 Pages

### CUSIP No. 012423109

## Page 4 of 11 Pages

NAMES OF REPORTING PERSONS

I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (Entities Only)

Jacob Gottlieb CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP\*

**2** (a)

(b)

SEC USE ONLY

3

1

CITIZENSHIP OR PLACE OF ORGANIZATION

4

**United States** 

NUMBER OF SOLE VOTING POWER

**SHARES** 

BENEFICIALLY 5None

OWNED BY

**EACH** 

**6**SHARED VOTING POWER

REPORTING

**PERSON** 0 (See Item 4) WITH SOLE DISPOSITIVE POWER 7 None **8**SHARED DISPOSITIVE POWER 0 (See Item 4) AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 9 0 (See Item 4) CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES **CERTAIN SHARES\*** 10 Not Applicable PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9) 11 0%

12

TYPE OF REPORTING PERSON\*

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Page 4 of 11 Pages

CUSIP No. 012423109 Page 5 of 11 Pages
Item 1 (a) Name of Issuer:
Applied Genetic Techno Corp
(b) Address of Issuer's Principal Executive Offices:
11801 Research Drive
Suite D
Alachua, FL 32615
<b>Item 2</b> (a) – (c) This statement is filed on behalf of the following:
(1) Visium Asset Management, LP, a Delaware limited partnership ("VAM"), with its principal business office c/o Visium Asset Management, LP, 888 Seventh Avenue, New York, NY 10019.
(2) JG Asset, LLC, a Delaware limited liability company ("JG Asset"), with its principal business office c/o Visium Asset Management, LP, 888 Seventh Avenue, New York, NY 10019. JG Asset is the General Partner of VAM.
(3) Jacob Gottlieb ("Gottlieb"), a natural person, with his principal business office c/o Visium Asset Management, LP, 888 Seventh Avenue, New York, NY 10019. Gottlieb is the Managing Member of JG Asset.

(d) <u>Title of Class of Securities</u> :
Common Stock
(e) <u>CUSIP Number</u> :
03820J100
Page 5 of 11 Pages

### CUSIP No. 03820J100

## Page 6 of 11 Pages

Item 3 If this statement is filed pursuant to Rule 13d-1(b), or 13d-2(b) or (c), check whether the person filing is a:

Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).

Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).

Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).

Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).

An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);\*

An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);

A parent holding company or control person in accordance with § 240.13d-1(b)(1)(ii)(G);\*

A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);

A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);

Group, in accordance with §240.13d-1(b)(1)(ii)(J).

\*VAM is an "investment adviser" as described above and JG Asset and Gottlieb are each a "parent holding company or control person" as described above.

Item 4 Ownership:
<u>VBMF</u>
(a) Amount Beneficially Owned:
0
(b) Percent of Class:
0%
(c) Number of Shares as to which person has:
(i) sole power to vote or to direct vote:
None
Page 6 of 11 Pages

CUSIP No. 03820J100 Page 7 of 11 Pages
(ii) shared power to vote or to direct vote:
0 shares
(iii) sole power to dispose or direct disposition of:
None
(iv) shared power to dispose or to direct disposition of:
0 shares
<u>VAM</u>
(a) Amount Beneficially Owned:
By virtue of its position as investment manager to pooled investment vehicles, VAM may be deemed to beneficially own 0 shares of the Company's Common Stock beneficially owned by the pooled investment vehicle.
(b) Percent of Class:
0%

(c) Number of Shares as to which person has:
(i) sole power to vote or to direct vote:
None
(ii) shared power to vote or to direct vote:
0 shares
(iv) sole power to dispose or direct disposition of:
None
(iv) shared power to dispose or to direct disposition of:
0 shares
Page 7 of 11 Pages

CUSIP No. 03820J100 Page 8 of 11 Pages
JG Asset
(a) Amount Beneficially Owned:
By virtue of its position as General Partner to VAM, JG Asset may be deemed to beneficially own 0 shares of the Company's Common Stock beneficially owned by VAM.
(b) Percent of Class:
0 %
(c) Number of Shares as to which person has:
(i) sole power to vote or to direct vote:
None
(ii) shared power to vote or to direct vote:
0 shares
(iii) sole power to dispose or direct disposition of:
None

(iv)shared power to dispose or to direct disposition of:
0 shares
<u>Gottlieb</u>
(a) Amount Beneficially Owned:
By virtue of his position as the Managing Member of JG Asset, Gottlieb may be deemed to beneficially own 0 shares of the Company's Common Stock beneficially owned by JG Asset.
(b) Percent of Class:
0 %
Page 8 of 11 Pages

CUSIP No. 03820J100 Page 9 of 11 Pages
(c) Number of Shares as to which person has:
(i) sole power to vote or to direct vote:
None
(ii) shared power to vote or to direct vote:
0 shares
(iii) sole power to dispose or direct disposition of:
None
(iv) shared power to dispose or to direct disposition of:
0 shares
VAM, JG Asset and Gottlieb disclaim beneficial ownership as to the Securities, except to the extent of his or its pecuniary interests therein. Neither the filing of this Schedule nor any of its contents shall be deemed to constitute an admission that any of the other individual officers and members of VBMF, VAM or JG Asset is, for any purpose, the beneficial owner of any of the Securities.

Item 5 Ownership of Five Percent or Less of a Class:

This statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the

beneficial owner of more than five percent of the class of securities. **Item 6** Ownership of More than Five Percent on Behalf of Another Person: Not Applicable Item 7 Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company: Not Applicable Item 8 Identification and Classification of Members of the Group: Not Applicable **Item 9** Notice of Dissolution of Group: Not Applicable

Page 9 of 11 Pages

### CUSIP No. 03820J100

Page 10 of 11 Pages

### Item 10 Certification:

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: February 14, 2017

### VISIUM ASSET MANAGEMENT, LP

By: JG Asset, LLC, its General Partner

By: /s/ Mark Gottlieb

Mark Gottlieb

**Authorized Signatory** 

**JG ASSET, LLC** 

By: /s/ Mark Gottlieb	
Mark Gottlieb	
Authorized Signatory	
JACOB GOTTLIEB	
D. // M. I. C. etc. I.	
By: <u>/s/ Mark Gottlieb</u>	
Mark Gottlieb	
Authorized Signatory	

Page 10 of 11 Pages

CUSIP No. 03820J100 Page 11 of 11 Pages
Exhibit A
AGREEMENT
The undersigned agree that this Schedule 13G dated February 14, 2017 relating to Common Stock of Applied Genetic Techno Corp shall be filed on behalf of the undersigned.
VISIUM ASSET MANAGEMENT, LP
By: JG Asset, LLC, its General Partner
By: /s/ Mark Gottlieb
Mark Gottlieb  Authorized Signatory
JG ASSET, LLC
By: /s/ Mark Gottlieb

Mark Gottlieb

**Authorized Signatory** 

## JACOB GOTTLIEB

By: /s/ Mark Gottlieb

Mark Gottlieb

**Authorized Signatory** 

Page 11 of 11 Pages