#### Edgar Filing: FORUM ENERGY TECHNOLOGIES, INC. - Form 3

#### FORUM ENERGY TECHNOLOGIES, INC.

Form 3

February 23, 2015

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

MD

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response...

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FORUM ENERGY TECHNOLOGIES, INC. [FET] Williams David Lyle Jr. (Month/Day/Year) 02/20/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 920 MEMORIAL CITY (Check all applicable) WAY, SUITE 1000 (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting VP - Operations Finance Person HOUSTON, TXÂ 77024 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 38,549 D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Date Exercisable and | 3. Title and Amount of | 4.          | 5.         | 6. Nature of Indirect |
|---------------------------------|-------------------------|------------------------|-------------|------------|-----------------------|
| (Instr. 4)                      | Expiration Date         | Securities Underlying  | Conversion  | Ownership  | Beneficial Ownership  |
|                                 | (Month/Day/Year)        | Derivative Security    | or Exercise | Form of    | (Instr. 5)            |
|                                 |                         | (Instr. 4)             | Price of    | Derivative |                       |
|                                 |                         | Title                  | Derivative  | Security:  |                       |
|                                 |                         |                        | Security    | Direct (D) |                       |

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|                                      | Date<br>Exercisable | Expiration<br>Date |                 | Amount or<br>Number of<br>Shares |          | or Indirect (I) (Instr. 5) |   |
|--------------------------------------|---------------------|--------------------|-----------------|----------------------------------|----------|----------------------------|---|
| Employee Stock Option (Right to Buy) | (1)                 | 04/12/2022         | Common<br>Stock | 31,080                           | \$ 20    | D                          | Â |
| Employee Stock Option (Right to Buy) | (2)                 | 02/28/2022         | Common<br>Stock | 4,995                            | \$ 17.84 | D                          | Â |
| Employee Stock Option (Right to Buy) | (3)                 | 08/01/2020         | Common<br>Stock | 55,500                           | \$ 7.68  | D                          | Â |

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                               |       |  |
|---|---------------|-----------|-------------------------------|-------|--|
| 1   | Director      | 10% Owner | Officer                       | Other |  |
| Williams David Lyle Jr.<br>920 MEMORIAL CITY WAY<br>SUITE 1000<br>HOUSTON, TX 77024 | Â             | Â         | VP -<br>Operations<br>Finance | Â     |  |

### **Signatures**

/s/ D. Lyle Williams by John C. Ivascu as Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- 15,540 of these options, granted under the Forum Energy Technologies, Inc. 2010 Stock Incentive Plan (the "Plan"), are exercisable. The (1) remaining 15,540 options are exercisable in accordance with the following schedule: 1/2 of the options become exercisable on each of the third and fourth anniversaries of the date of grant (04/12/2012).

02/23/2015

- (2) 2,497 of these options, granted under the Plan, are exercisable. The remaining 2,498 options are exercisable in accordance with the following schedule: 1/2 of the options become exercisable on each of the third and fourth anniversaries of the date of grant (02/28/2012).
- (3) These options, granted under the Plan, are all exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2