Edgar Filing: CHYMIAK DAVID E - Form 4

Form 4												
March 30, 2018 FORM 4 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). MITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 Section 17(a) of the Public Utility Holding Company Act of 1935 or Sect 30(h) of the Investment Company Act of 1940							NERSHIP OF ge Act of 1934, f 1935 or Sectio	OMB APPROVAL OMB 3235-0287 Number: January 31 Expires: January 31 2005 Estimated average burden hours per response 0.5				
(Print or Type l	Responses)											
1. Name and Address of Reporting Person <u>*</u> CHYMIAK DAVID E			2. Issuer Name and Ticker or Trading Symbol ADDVANTAGE TECHNOLOGIES GROUP INC [AEY]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) 1221 E HOUSTON STREET			3. Date of Earliest Transaction (Month/Day/Year) 03/07/2018						_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) below) Chief Technology Officer			
BROKEN A	(Street) ARROW, OK 740	012	4. If Ame Filed(Mo			te Origina	1		6. Individual or Jo Applicable Line) _X_ Form filed by M Form filed by M	One Reporting	Person	
(City)		(Zip)	Tab	le I - No	n-D) erivative	Secur	ities Ac	Person quired, Disposed o	f, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		n Date, if	3. Transac Code (Instr. 3) Code	8)	4. Securit r(A) or Di (Instr. 3, 4)	sposed	of (D)	Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock (1)	03/07/2018			А		11,450	А	\$ 1.31	2,502,902	D		
Common Stock (2)									80,951.75	I	By Chymiak Investment LLC	
Common Stock (3)									80,951.75	I	By Chymiak Investment LLC	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed		Date	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
				of (D) (Instr. 3, 4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number		(Instr
			Code V	(A) (D)		of Shares				

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
CHYMIAK DAVID E			Chief				
1221 E HOUSTON STREET	Х	Х	Technology				
BROKEN ARROW, OK 74012			Officer				

Signatures

/s/ David E. Chymiak <u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) For the 11,450 shares acquired on 3/7/2018, there is a one year holding restriction, which expires on 3/6/2019.
- (2) David Chymiak holds a 25% ownership in Chymiak Investment LLC.
- (3) Spouse, Judith M. Chymiak, holds a 25% ownership in Chymiak Investment LLC. These shares are indirectly owned by David Chymiak as spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.