Edgar Filing: Baldwin David C - Form 4

Baldwin Da Form 4	avid C											
April 18, 2012 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB APPROVAL					
Wa Check this box if no longer subject to Section 16.				NGES IN	n, D.C. 205 N BENEFIC RITIES	Number: 3235-0287 Number: January 31, Expires: 2005 Estimated average burden hours per						
builden hours per												
(Print or Type	Responses)											
Baldwin David C Sym FOI				Issuer Name and Ticker or Trading nbol RUM ENERGY CHNOLOGIES, INC. [FET]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 920 MEMORIAL CITY WAY, SUITE 800			3. Date of Earliest Transaction (Month/Day/Year) 04/17/2012				_	_X Director Officer (give t elow)	Officer (give title Other (specify			
				Amendment, Date Original (Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(State)	(Zip)	Tal	hla I. Nau	Danimating 6		-	Person	an Dan afficial	la Oran ed		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	-	ed Date, if	3. Transactio Code (Instr. 8)	4. Securities onor Disposed (Instr. 3, 4 and	Acquin of (D) nd 5) (A) or	red (A)	red, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Iy Owned 7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	04/17/2012			Code V S	Amount 7,900,000	(D) D	Price \$ 18.75	41,505,138	I	See Footnotes (1) (2)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Baldwin David C - Form 4

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Tit	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date		Amou	int of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Unde	rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative			Secur	ities	(Instr. 5)	Bene
	Derivative				Securities Acquired (A) or			(Instr	. 3 and 4)		Owne
	Security										Follo
	•										Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,					Ì	
					4, and 5)						
					· · ·						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title Num of	Number		
									of		
				Code V	(A) (D)				Shares		

Reporting Owners

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other Baldwin David C 920 MEMORIAL CITY WAY X **SUITE 800** HOUSTON, TX 77024 Signatures /s/ David C. Baldwin by John C. Ivascu as Attorney-in-04/18/2012 Fact

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- SCF-V, L.P., a Delaware limited partnership ("SCF-V"), SCF-VI, L.P., a Delaware limited partnership ("SCF-VI") and SCF-VII, L.P., a (1) Delaware limited partnership ("SCF-VII" and, collectively with SCF-V and SCF-VI, the "Selling Stockholders") sold the shares of common stock to the public in connection with the initial public offering of the issuer on April 17, 2012 ("the IPO").

SCF-V, SCF-VI and SCF-VII, in the aggregate own 41,505,138 shares of common stock following completion of the IPO. The general partner of SCF-V is SCF-V, G.P., Limited Partnership, a Delaware limited partnership ("SCF-V GP"), the general partner of SCF-VI is SCF-VI, G.P., Limited Partnership, a Delaware limited partnership ("SCF-VI GP") and the general partner of SCF-VII is SCF-VII, G.P.,

(2) Limited Partnership, a Delaware limited partnership ("SCF-VII GP"). L.E. Simmons & Associates, Inc., a Delaware corporation ("LESA") is the sole general partner of each of SCF-V GP, SCF-VI GP and SCF-VII GP. Mr. Baldwin serves as a managing director of LESA. As such, Mr. Baldwin may be deemed to have dispositive power over the shares of common stock owned by SCF-V, SCF-VI and SCF-VII. Mr. Baldwin disclaims beneficial ownership of such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date