Edgar Filing: DUPONT E I DE NEMOURS & CO - Form 4

DUPONT E I DE NEMOURS & CO

Form 4 March 30, 2017

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005 Estimated average

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

SECURITIES

response...

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burden hours per

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

03/28/2017

(Print or Type Responses)

1 Name and Address of Departing D

1. Name and Address of Reporting Person _ FANANDAKIS NICHOLAS C			S C Symbo	uer Name and Ticker or Trading ol ONT E I DE NEMOURS & CO	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	(Last) 974 CENT	(First) TRE ROAD, CRP	(Month	e of Earliest Transaction n/Day/Year) /2017	Director 10% Owner X Officer (give title Other (specify below)			
(Street) WILMINGTON, DE 19805			Filed(N	mendment, Date Original Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
	(City)	(State)	(Zip) Ta	able I - Non-Derivative Securities Acq	uired, Disposed of, or Beneficially Owned			
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price	5. Amount of Securities Ownership of Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)			
	Common Stock	03/28/2017		M(1) 44,920 A \$49.96	9 ^{202,491,4451} D			

44,920 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $S^{(1)}$

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

157,571.4451

81.9254 (2)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of actionDerivative Securities 8. Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Employee Stock Option (Right to buy)	\$ 49.969	03/28/2017		M ⁽³⁾		44,920	02/02/2012(4)	02/01/2018	Common Stock	44,9

Reporting Owners

Reporting Owner Name / Address	Relationships						
reporting owner runner runners	Director	10% Owner	Officer	Other			
FANANDAKIS NICHOLAS C 974 CENTRE ROAD CRP 730 WILMINGTON, DE 19805			Executive Vice President & CFO				

Signatures

Deborah L. Daisley by Power of Attorney 03/30/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The acquisiton and disposition reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 20, 2013, and last amended effective November 15, 2014 with respect to these securities.
- (2) Includes direct ownership, unvested RSUs and vested deferred stock units.
- (3) The disposition reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 20, 2013, and last amended effective November 15, 2014 with respect to these securties.
- (4) Options became exercisable in three equal annual installments beginning on the first anniversary of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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