

IMMUCELL CORP /DE/  
Form 4  
August 31, 2015

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ROTHSCHILD JONATHAN E

(Last) (First) (Middle)

C/O IMMUCELL CORPORATION, 56 EVERGREEN DRIVE

(Street)

PORTLAND, ME 04103

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

IMMUCELL CORP /DE/ [ICCC]

3. Date of Earliest Transaction (Month/Day/Year)

08/27/2015

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount (A) or Price (D)		
Common Stock, par value \$0.10 per share	08/27/2015		P		400 A \$ 7.45	D	
Common Stock, par value \$0.10 per share	08/27/2015		P		400 A \$ 7.46	D	
Common Stock, par value \$0.10	08/27/2015		P		400 A \$ 7.47	D	

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per share							
Common Stock, par value \$0.10 per share	08/27/2015	P	300	A	\$ 7.43	517,036	D
Common Stock, par value \$0.10 per share	08/27/2015	P	200	A	\$ 7.52	517,236	D
Common Stock, par value \$0.10 per share <sup>(1)</sup>	08/27/2015	P	200	A	\$ 7.44	517,436	D
Common Stock, par value \$0.10 per share <sup>(1)</sup>	08/27/2015	P	700	A	\$ 7.41	518,136	D
Common Stock, par value \$0.10 per share <sup>(1)</sup>	08/27/2015	P	400	A	\$ 7.45	518,536	D
Common Stock, par value \$0.10 per share <sup>(1)</sup>	08/27/2015	P	400	A	\$ 7.46	518,936	D
Common Stock, par value \$0.10 per share	08/28/2015	P	200	A	\$ 7.2	519,136	D
Common Stock, par value \$0.10 per share	08/28/2015	P	200	A	\$ 7.29	519,336	D
Common Stock, par value \$0.10 per share	08/28/2015	P	300	A	\$ 7.34	519,636	D
Common Stock, par value \$0.10 per share	08/28/2015	P	300	A	\$ 7.31	519,936	D
Common Stock, par value \$0.10 per share	08/28/2015	P	200	A	\$ 7.39	520,136	D

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Common Stock, par value \$0.10 per share	08/28/2015	P	300	A	\$ 7.32	520,436	D
Common Stock, par value \$0.10 per share <sup>(1)</sup>	08/28/2015	P	100	A	\$ 7.17	520,536	D
Common Stock, par value \$0.10 per share <sup>(1)</sup>	08/28/2015	P	200	A	\$ 7.37	520,736	D
Common Stock, par value \$0.10 per share <sup>(1)</sup>	08/28/2015	P	200	A	\$ 7.25	520,936	D
Common Stock, par value \$0.10 per share <sup>(1)</sup>	08/28/2015	P	150	A	\$ 7.26	521,086	D
Common Stock, par value \$0.10 per share <sup>(1)</sup>	08/28/2015	P	50	A	\$ 7.23	521,136	D
Common Stock, par value \$0.10 per share <sup>(1)</sup>	08/31/2015	P	500	A	\$ 7.17	521,636 <sup>(2)</sup>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
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	Date	Expiration	Title	Amount
	Exercisable	Date		or
Code	V	(A)	(D)	Number
				of
				Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

ROTHSCHILD JONATHAN E  
 C/O IMMUCELL CORPORATION  
 56 EVERGREEN DRIVE  
 PORTLAND, ME 04103

## Signatures

/s/Michael F Brigham Attorney-in-fact	08/31/2015
__Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These shares were acquired by Arterio Inc., a corporation owned solely by Mr. Rothschild.  
 This figure includes 219,955 shares of common stock held by Arterio Inc., a corporation owned solely by Mr. Rothschild, and 39,555
- (2) shares held by the estate of Mr. Rothschild's parents. Mr. Rothschild is the executor of his parents' estate and is a one-third beneficiary of that estate. The distribution of the assets of that estate has not yet been resolved.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.