S&W Seed Co Form 3 December 12, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Veneman Ann M

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

12/10/2013

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

S&W Seed Co [SANW]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O S&W SEED

COMPANY, Â 25552 SOUTH

BUTTE AVENUE

(Street)

10% Owner _X_ Director Officer Other

(Check all applicable)

(give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

FIVE POINTS, CAÂ 93624

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Date

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Derivative Security: Date Exercisable Expiration Title Amount or

Security Direct (D) Number of

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Shares or Indirect
(I)
(Instr. 5)

Common Stock Option (Right to Buy) $01/01/2014_{\underline{(1)}} 10/27/2018$ Common Stock $01/01/2014_{\underline{(1)}} 10/27/2018$ Common Stock $01/01/2014_{\underline{(1)}} 10/27/2018$ Common Stock $01/01/2014_{\underline{(1)}} 10/27/2018$ Common Stock $01/01/2014_{\underline{(1)}} 10/27/2018$ D Â

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Veneman Ann M

C/O S&W SEED COMPANY
25552 SOUTH BUTTE AVENUE

FIVE POINTS, CAÂ 93624

Signatures

Ann M.
Veneman

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests in the following amounts on the following dates: 50% vests on January 1, 2014; and 50% vests on April 1, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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