Clark Moore Form 4 July 11, 2018

## FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

Form 4 or Form 5 obligations may continue. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Clark Moore			2. Issuer Name and Ticker or Trading Symbol PEDEVCO CORP [PED]			5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (1	Middle)		Earliest Tra		( Directo	Check all applicable	Owner	
4125 BLACKHAWK PLAZA CIRCLE, SUIT 201 (Street)			07/11/2018  4. If Amendment, Date Original			Officer (give title Other (specify below)  Executive VP			
						6. Individual or Joint/Group Filing(Check			
DANVILLI	E, CA 94506		Filed(Mor	nth/Day/Year)	_		ne) d by One Reporting Pe d by More than One Re		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative Securities Acq	uired, Dispos	ed of, or Beneficial	ly Owned	
1.Title of	2. Transaction Date	e 2A. Deem	ned	3.	4. Securities Acquired	5. Amount o	of 6. Ownership	7. Nature o	
Security	(Month/Day/Year)	Execution	Date, if	Transactio	n(A) or Disposed of (D)	Securities	Form: Direct	Indirect	
(Instr. 3)		any		Code	(Instr. 3, 4 and 5)	Beneficially	(D) or	Beneficial	
		(Month/D	ay/Year)	(Instr. 8)		Owned	Indirect (I)	Ownership	

(A) Transaction(s) (Instr. 3 and 4) Amount (D) Price

Common 50,000 07/11/2018  $285,076 \frac{(2)}{}$ D Stock 1.48

By Minor Common Ι Children 5,734 Stock (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Following

Reported

(Instr. 4)

of

(Instr. 4)

### Edgar Filing: Clark Moore - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option (Right to Buy)	\$ 2.2					07/22/2017	07/01/2021	Common Stock	28,000
Incentive Stock Option (Right to Buy)	\$ 3.7					07/01/2015	07/01/2020	Common Stock	27,000
Incentive Stock Option (Right to Buy)	\$ 5.1					12/18/2012	06/18/2022	Common Stock	4,447
Non-Qualified Stock Option (Right to Buy)	\$ 5.1					12/18/2012	06/18/2022	Common Stock	18,887

Relationships

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Clark Moore

4125 BLACKHAWK PLAZA CIRCLE
SUIT 201
Executive VP

DANVILLE, CA 94506

## **Signatures**

/s/Clark Moore 07/11/2018

\*\*Signature of Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

Reporting Owners 2

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Common stock of Issuer granted to Reporting Person pursuant to Issuer's 2012 Equity Incentive Plan and subject to forfeiture as follows: (i) 100% of the shares vest on January 11, 2019 subject to the Recipient being an employee of, or consultant to, the Company on such vesting date, and subject to the terms and conditions of a Restricted Stock Agreement.

- (2) Reporting Person's holdings include: 3,334 shares, 9,352 shares, 26,250, 195,000 and 50,000 all issued pursuant to restricted stock grants with 1,140 remaining of Founders Stock.
- (3) Represents 2,867 shares of the Issuer's common stock owned by each of Reporting Person's two minor children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.