AUTONATION, INC.

Form 4 June 04, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \* JACKSON MICHAEL J

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

AUTONATION, INC. [AN]

(Middle)

3. Date of Earliest Transaction

(Check all applicable) 10% Owner

Chairman and CEO

200 SW 1ST AVE, SUITE 1600

(Month/Day/Year)

06/02/2014

\_X\_\_ Director X\_ Officer (give title Other (specify below)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

(Instr. 4)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

FORT LAUDERDALE, FL 33301

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

3. 4. Securities Execution Date, if TransactionAcquired (A) or

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Beneficially

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T)

(Instr. 4)

Code Disposed of (D) (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

Following Reported Transaction(s)

Owned

(Instr. 3 and 4) Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and 7. Title and Amount Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date** Underlying Securiti Security or Exercise any Code Securities (Month/Day/Year) (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)		Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Employee Stock Option (right to buy)	\$ 18.2	06/01/2014		J <u>(1)</u>	V	6,663	<u>(2)</u>	03/01/2020	Common Stock, par value \$0.01 per share	6,66
Employee Stock Option (right to buy)	\$ 19.64	06/01/2014		<u>J(1)</u>	V	6,663	(2)	03/01/2020	Common Stock, par value \$0.01 per share	6,66
Employee Stock Option (right to buy)	\$ 23.21	06/01/2014		<u>J(1)</u>	V	6,663	(2)	03/01/2020	Common Stock, par value \$0.01 per share	6,66
Employee Stock Option (right to buy)	\$ 26.49	06/01/2014		J <u>(1)</u>	V	6,663	(2)	03/01/2020	Common Stock, par value \$0.01 per share	6,66
Employee Stock Option (right to buy)	\$ 32.5	06/01/2014		J <u>(1)</u>	V	5,787	(3)	03/01/2021	Common Stock, par value \$0.01 per share	5,78
Employee Stock Option (right to buy)	\$ 34.51	06/01/2014		J <u>(1)</u>	V	5,787	(3)	03/01/2021	Common Stock, par value \$0.01 per share	5,78
Employee Stock Option (right to buy)	\$ 40.37	06/01/2014		J <u>(1)</u>	V	5,787	(3)	03/01/2021	Common Stock, par value \$0.01 per share	5,78
Employee Stock Option (right to buy)	\$ 35.99	06/01/2014		<u>J(1)</u>	V	5,787	(3)	03/01/2021	Common Stock, par value \$0.01 per share	5,78

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Employee Stock Option (right to buy)	\$ 34.09	06/01/2014	J <u>(1)</u> \	V	5,260	<u>(4)</u>	03/01/2022	Common Stock, par value \$0.01 per share	5,26
Employee Stock Option (right to buy)	\$ 35	06/01/2014	J <u>(1)</u> \	V	5,260	<u>(4)</u>	03/01/2022	Common Stock, par value \$0.01 per share	5,26
Employee Stock Option (right to buy)	\$ 41.16	06/01/2014	J <u>(1)</u> \	V	5,260	<u>(4)</u>	03/01/2022	Common Stock, par value \$0.01 per share	5,26
Employee Stock Option (right to buy)	\$ 38.63	06/01/2014	J <u>(1)</u> V	V	5,260	<u>(4)</u>	03/01/2022	Common Stock, par value \$0.01 per share	5,26
Employee Stock Option (right to buy)	\$ 43.45	06/01/2014	J <u>(1)</u> V	V	4,545	(5)	03/01/2023	Common Stock, par value \$0.01 per share	4,54
Employee Stock Option (right to buy)	\$ 46.22	06/01/2014	J <u>(1)</u> V	V	4,545	<u>(5)</u>	03/01/2023	Common Stock, par value \$0.01 per share	4,54
Employee Stock Option (right to buy)	\$ 47.25	06/01/2014	J <u>(1)</u> V	V	4,545	<u>(5)</u>	03/01/2023	Common Stock, par value \$0.01 per share	4,54
Employee Stock Option (right to buy)	\$ 48.8	06/01/2014	J <u>(1)</u> V	V	4,545	<u>(5)</u>	03/01/2023	Common Stock, par value \$0.01 per share	4,54
Employee Stock Option (right to buy)	\$ 57.44	06/02/2014	A	82,012		<u>(6)</u>	03/03/2024	Common Stock, par value \$0.01 per share	82,0

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

JACKSON MICHAEL J

200 SW 1ST AVE
X Chairman
SUITE 1600
FORT LAUDERDALE, FL 33301

### **Signatures**

/s/ Jonathan P. Ferrando, Attorney-in-Fact

06/04/2014

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition pursuant to a transaction that is exempt from Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), pursuant to Rule 16a-12 under the Exchange Act.
- (2) The option became exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2010, subject to continuous employment with the Company.
- (3) The option becomes exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2011, subject to continuous employment with the Company.
- (4) The option becomes exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2012, subject to continuous employment with the Company.
- (5) The option becomes exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2013, subject to continuous employment with the Company.
- (6) The option becomes exercisable in 25% annual increments on each of the first four anniversaries of June 1, 2014, subject to continuous employment with the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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