

Richard Mark
Form 3
February 20, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Richard Mark

(Last) (First) (Middle)

3000 N. SAM HOUSTON
PKWY E.

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/12/2019

3. Issuer Name and Ticker or Trading Symbol
HALLIBURTON CO [HAL]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
President - Western Hemisphere

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

105,096.537

D

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)
Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Option to Buy Common Stock	01/05/2010	01/05/2020	Common Stock	7,000	\$ 31.65	D	Â
Option to Buy Common Stock	01/01/2011	01/01/2021	Common Stock	4,600	\$ 40.83	D	Â
Option to Buy Common Stock	01/03/2012	01/03/2022	Common Stock	6,400	\$ 34.15	D	Â
Option to Buy Common Stock	01/03/2013	01/03/2023	Common Stock	13,900	\$ 36.31	D	Â
Option to Buy Common Stock	01/02/2014	01/02/2024	Common Stock	7,900	\$ 50.01	D	Â
Option to Buy Common Stock	01/02/2015	01/02/2025	Common Stock	14,807	\$ 39.49	D	Â
Option to Buy Common Stock	01/04/2016	01/04/2026	Common Stock	28,604	\$ 34.48	D	Â
Option to Buy Common Stock	01/03/2017	01/03/2027	Common Stock	17,119	\$ 55.68	D	Â
Option to Buy Common Stock (01/2018)	01/02/2018	01/02/2028	Common Stock	24,019	\$ 49.61	D	Â
Option to Buy Common Stock (12/2018)	12/20/2018	12/20/2028	Common Stock	43,924	\$ 27.14	D	Â
Restricted Stock Units (01/2015)	Â ⁽¹⁾	Â ⁽¹⁾	Common Stock	2,091	\$ ⁽²⁾	D	Â
Restricted Stock Units (10/2015)	Â ⁽¹⁾	Â ⁽¹⁾	Common Stock	4,268	\$ ⁽²⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Richard Mark 3000 N. SAM HOUSTON PKWY E. HOUSTON, TX 77032	Â	Â	Â President - Western Hemisphere	Â

Signatures

Bruce A. Metzinger, by Power of
Attorney

02/20/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The restricted stock units vest in five equal annual installments beginning with the first anniversary of the award. Shares will be delivered to the reporting person upon vesting.
- (2) Each Restricted Stock Unit represents the right to receive one share of the Company's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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