MOOG INC. Form 4 November 13, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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response...

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b). (Print or Type Responses)

1. Name and Address of Reporting Person
AUBRECHT RICHARD A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(Last) (First)

(Middle)

MOOG INC. [MOGA/MOGB] 3. Date of Earliest Transaction

\_X\_\_ Director

10% Owner

308 STONEHEDGE DR

(Month/Day/Year) 11/11/2014

X\_ Officer (give title \_ Other (specify below)

Vice President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### ORCHARD PARK, NY 14127

(City)	(State) (Z	Zip) Table	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Class A Common					70,609	D				
Class A Common (1)					8,392	I	401 (k)			
Class A Common					27,093	I	Spouse (2)			
Class B Common					57,780	D				
Class B Common (1)					31,885	I	401 (k)			

# Class B Spouse (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Numl orDerivati Securiti Acquire or Dispo (D) (Instr. 3 and 5)	ive es ed (A) osed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		e Expiration Date Underlying Sec (Month/Day/Year) (Instr. 3 and 4) (A) led of		Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to Buy	\$ 28.94						09/19/2014	11/29/2015	Class A Common	20,250	
Option to Buy	\$ 36.67						11/28/2009	11/28/2016	Class A Common	20,250	
Option to Buy	\$ 42.45						11/26/2010	11/26/2017	Class A Common	20,250	
SAR (4)	\$ 35.12						(5)	10/31/2018	Class A Common	20,500	
SAR (4)	\$ 26.66						<u>(6)</u>	12/01/2019	Class A Common	15,375	
SAR (4)	\$ 36.86						<u>(7)</u>	11/30/2020	Class A Common	20,500	
SAR (4)	\$ 41.82						(8)	11/30/2021	Class A Common	20,500	
SAR (4)	\$ 36.41						<u>(9)</u>	11/27/2022	Class A Common	20,500	
SAR (4)	\$ 61.69						(10)	11/11/2023	Class A Common	10,000	
SAR (4)	\$ 74.38	11/11/2014		A	10,000	)	(11)	11/11/2024	Class A Common	10,000	

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer  Vice President	Other			
AUBRECHT RICHARD A			*** 5				
308 STONEHEDGE DR	X		Vice President				
ORCHARD PARK, NY 14127							

### **Signatures**

Timothy P. Balkin, as Power of Attorney for Richard A. Aubrecht

11/13/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares held in Moog Inc. Retirement Savings Plan as of the most recent report to participants.
- (2) Dr. Aubrecht disclaims any beneficial interest in shares owned by spouse.
- (3) Option to buy granted under the 1998 and/or 2003 Incentive Stock Option Plan
- (4) Stock Appreciation Rights (SAR) granted under the 2008 Incentive Stock Option Plan.
- (5) SAR exercisable as follows: 6,834 on 10/31/09, 6,833 on 10/31/10 and 6,833 on 10/31/11.
- (6) SAR exercisable as follows: 5,125 on 12/1/10, 5,125 on 12/1/11 and 5,125 on 12/1/12.
- (7) SAR exercisable as follows: 6,834 on 11/30/11, 6,833 on 11/30/12 and 6,833 on 11/30/13.
- (8) SAR exercisable as follows: 6,834 on 11/30/12, 6,833 on 11/30/13 and 6,833 on 11/30/14.
- (9) SAR is exercisable as follows: 6,834 on 11/27/2013, 6,833 on 11/27/2014 and 6,833 on 11/27/2015.
- $\textbf{(10)} \quad \text{SAR is exercisable as follows: 3,334 on } 11/11/2014, 3,333 \text{ on } 11/11/2015 \text{ and } 3,333 \text{ on } 11/11/2016.$
- (11) SAR is exercisable as follows: 3,334 on 11/11/2015, 3,333 on 11/11/2016 and 3,333 on 11/11/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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