

ROCHE PATRICK J

Form 4

February 21, 2019

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**ROCHE PATRICK J**

(Last) (First) (Middle)

**SENECA ST & JAMISON RD**

(Street)

**EAST AURORA, NY 14052**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**MOOG INC. [MOGA/MOGB]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**02/19/2019**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Vice President

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Class A Common	02/19/2019		M		1,000	A \$ 26.66	5,482	D	
Class A Common	02/19/2019		F		279	D \$ 95.88	5,203	D	
Class B Common							1,267	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
displays a currently valid OMB control**

SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
*(e.g., puts, calls, warrants, options, convertible securities)*

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (In	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
SAR <u>(1)</u>	\$ 26.66	02/19/2019		M		1,000		<u>(2)</u>	12/01/2019	Class A Common	1,000
SAR <u>(1)</u>	\$ 36.86							<u>(2)</u>	11/30/2020	Class A Common	4,000
SAR <u>(1)</u>	\$ 41.82							<u>(2)</u>	11/30/2021	Class A Common	4,000
SAR <u>(1)</u>	\$ 36.41							<u>(2)</u>	11/27/2022	Class A Common	20,500
SAR <u>(1)</u>	\$ 61.69							<u>(2)</u>	11/11/2023	Class A Common	10,000
SAR <u>(1)</u>	\$ 74.38							<u>(2)</u>	11/11/2024	Class A Common	10,000
SAR <u>(3)</u>	\$ 63.04							<u>(2)</u>	11/17/2025	Class A Common	3,333
SAR <u>(3)</u>	\$ 65.9							<u>(2)</u>	11/17/2025	Class B Common	6,667
SAR <u>(3)</u>	\$ 71.648							<u>(2)</u>	11/15/2026	Class B Common	10,000
SAR <u>(3)</u>	\$ 82.31							<u>(2)</u>	11/14/2027	Class B Common	6,181
SAR <u>(3)</u>	\$ 80.19							<u>(2)</u>	11/13/2028	Class B Common	6,988

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director

10% Owner

Officer

Other

Vice President

ROCHE PATRICK J  
SENECA ST & JAMISON RD  
EAST AURORA, NY 14052

## Signatures

Timothy P. Balkin, as Power of Attorney for Patrick J.  
Roche

02/21/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Appreciation Rights (SAR) granted under the 2008 Stock Option Plan.
- (2) SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- (3) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.