

Edgar Filing: HARDWICK MARK K - Form 3

HARDWICK MARK K
Form 3
April 15, 2002

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*

| | | |
|-------------------|---------|----------|
| Hardwick | Mark | K |
| (Last) | (First) | (Middle) |
| 5400 Leslie Drive | | |
| (Street) | | |
| Muncie | IN | 47304 |
| (City) | (State) | (Zip) |

2. Date of Event Requiring Statement (Month/Day/Year)

April 11, 2002

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

303-76-8767

4. Issuer Name and Ticker or Trading Symbol

First Merchants Corporation (FRME)

5. Relationship of Reporting Person to Issuer
(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Vice President and Chief Financial Officer

6. If Amendment, Date of Original (Month/Day/Year)

7. Individual or Joint/Group Filing (Check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Edgar Filing: HARDWICK MARK K - Form 3

Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature (Instr. 5) |
|------------------------------------|---|---|-------------------------|
| Common | 302 | D | |

* If the Form is filed by more than one Reporting Person, see Instruction 5(b) (v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print of Type Responses)

(Over)

FORM 3 (continued)

Table II -- Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conve- sion Exerc Price Deriv Secur | |
|---|--|-------------------------|---|---|---------|
| | Date Exer- cisable | Expira- tion Date | | | Title |
| Employee Stock Option (Right to Buy) | 01/29/00 | 07/28/09 | Common | 630 | 21.6667 |
| | 07/01/02 | 06/30/10 | Common | 525 | 20.1489 |
| | 07/01/03 | 06/30/11 | Common | 1,575 | 21.7524 |
| Deferred Stock Units | 02/07/03 | 02/07/03 | | 66.108 | |
| | 02/01/04 | 02/01/04 | | 154.31 | |

Edgar Filing: HARDWICK MARK K - Form 3

=====
Explanation of Responses:

/s/ Mark K. Hardwick

April 15, 2002

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

(Print of Type Responses)

Page 2