NORTHERN TRUST CORP

Form 4

February 16, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2. Issuer Name and Ticker or Trading

OMB APPROVAL OMB

3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

FRADKIN STEVEN L

1. Name and Address of Reporting Person *

See Instruction

FRADKIN	SIEVENL		Symbol NORTI [NTRS	HERN TE]	RUST CO	ORP		(Chec	k all applicable	;)		
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year)					Director 10% Owner Symbol Other (specify below) below)				
50 SOUTH LASALLE STREET			02/11/2016					President/Wealth Management				
CHICAGO		endment, D nth/Day/Yea	_	al		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Execution	med on Date, if Day/Year)	Code (Instr. 8)	4. Securion(A) or Di (Instr. 3,	ispose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Stock	02/11/2016			F	2,169	D	55.155	38,971 (1) (2)	D			
Common Stock								109,672 <u>(1)</u>	I	By Trust		
Common Stock								1,762	I	Spouse as trustee for Son		
Common Stock								1,762	I	Spouse as trustee for Daughter		
								9,560.76	I			

Common 401(k) as Stock of 12-31-15

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title and	8. Price of	9. Nu
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date		Amount of	Derivative	Deriv
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlying	Security	Secui
(Instr. 3)		Price of		(Month/Day/Year)	(Instr. 8)	Derivative			Securities	(Instr. 5)	Bene
		Derivative		, ,	· í	Securities			(Instr. 3 and 4)	14)	Own
		Security				Acquired					Follo
		•				(A) or					Repo
						Disposed					Trans
						of (D)					(Instr
						(Instr. 3,					
					4, and 5)						
									A ma		
									Amo	unt	
							Date Expirat Exercisable Date	Expiration	or Tidle News	L	
								•	Title Num	ber	
				G 1 W	(A) (B)			of			
					Code V	(A) (D)			Share	es	

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

FRADKIN STEVEN L 50 SOUTH LASALLE STREET CHICAGO, IL 60603

President/Wealth Management

Signatures

Bradley R. Gabriel, Attorney-in-Fact for Steven L. 02/16/2016 Fradkin

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On February 11, 2016, 4,745 already reported stock units, granted on February 11, 2013, vested. Of this amount, 2,169 were withheld in (1) payment of Federal, State and Medicare taxes and the remaining 2,576 were distributed to the reporting person as shares of common stock and transferred to the reporting person's existing trust account.
- (2) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.

Reporting Owners 2

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