

ASTEC INDUSTRIES INC
Form 4
June 02, 2006

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
FRIERSON DANIEL K

2. Issuer Name and Ticker or Trading Symbol
ASTEC INDUSTRIES INC [aste]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

WITT GAITHER & WHITAKER,
PC, 1100 AMERICAN NATIONAL
BANK BLDG

3. Date of Earliest Transaction
(Month/Day/Year)
03/12/2001

Director 10% Owner
 Officer (give title below) Other (specify below)

(Street)

CHATTANOOGA, TN 37404

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|-----|-----------------|---|--|-----------------------------------|
| | | | | Code | V | Amount or Price | | | |
| Common Stock (2) | 03/12/2001 | | A | | 200 | A \$ 12.5 | 3,537 | D | |
| Common Stock (2) | 05/14/2001 | | A | | 138 | A \$ 18.1159 | 3,675 | D | |
| Common Stock (2) | 07/30/2001 | | A | | 136 | A \$ 18.3824 | 3,811 | D | |
| Common Stock (2) | 10/22/2001 | | A | | 187 | A \$ 13.369 | 3,998 | D | |
| Common Stock (2) | 03/24/2005 | | A | | 230 | A \$ 21.83 | 4,228 | D | |

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| | | | | | | | |
|-------------------------|------------|---|-----|---|----------|-------|---|
| Common Stock <u>(2)</u> | 05/23/2005 | A | 242 | A | \$ 20.71 | 4,470 | D |
| Common Stock <u>(2)</u> | 08/30/2005 | A | 173 | A | \$ 28.9 | 4,643 | D |
| Common Stock <u>(2)</u> | 10/27/2005 | A | 183 | A | \$ 27.4 | 4,826 | D |
| Common Stock <u>(2)</u> | 03/16/2006 | A | 204 | A | \$ 34.43 | 5,030 | D |
| Common Stock <u>(2)</u> | 04/27/2006 | A | 176 | A | \$ 39.81 | 5,206 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|
| Stock Options | <u>(1)</u> | | | Code V (A) (D) | | <u>(1)</u> <u>(1)</u> | Common Stock | 3,266 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

FRIERSON DANIEL K
WITT GAITHER & WHITAKER, PC
1100 AMERICAN NATIONAL BANK BLDG
CHATTANOOGA, TN 37404

X

Signatures

Robert C. Taylor, attorney in fact for Daniel
Frierson

06/02/2006

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Prices and dates have been previously reported for stock options currently outstanding.

(2) The securities consist of deferred stock units (DSUs) acquired under the Issuer's 1998 Non-Employee Directors Stock Incentive Plan. The DSUs convert on a one-for-one basis into shares of the Issuer's common stock subsequent to the reporting persons termination of services as a director. These DSUs were acquired on a quarterly basis as payment of a retainer for services as a director of the Issuer. The reporting of the acquisition of these DSUs was inadvertently not filed timely.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.