BARNHOLT EDWARD W

Form 4

December 19, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box if no longer

vasnington, D.C. 20549

Expires: January 31, 2005

OMB APPROVAL

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **BARNHOLT EDWARD W** Issuer Symbol ADOBE INC. [ADBE] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) X_ Director 10% Owner Officer (give title Other (specify ADOBE INC., 345 PARK AVENUE 12/17/2018 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

SAN JOSE, CA 95110

(State)

(Zip)

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Person

X Form filed by One Reporting Person ____ Form filed by More than One Reporting

. •		Table 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficiary Owned									
1.Title of	2. Transaction Date	2A. Deemed	3.		4. Securit	ties		5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	Execution Date, if	TransactionAcquired (A) or			r	Securities	Form: Direct	Indirect		
(Instr. 3)		any	Code		Disposed of (D))	Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8))	(Instr. 3, 4 and 5)		5)	Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)		
						(4)		Reported			
						(A)		Transaction(s)			
			G 1 1	. 7		or	ъ.	(Instr. 3 and 4)			
			Code	V	Amount	(D)	Price				
Common Stock	12/17/2018		G V	V	5,946	D	\$0	32,014	D		
Common Stock								5,000	I	by trust (1)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1.	Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
D	erivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
S	ecurity	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(I	nstr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
		Derivative				Securities	3		(Instr.	3 and 4)		Owne
		Security				Acquired						Follo
		•				(A) or						Repo
						Disposed						Trans
						of (D)						(Instr
						(Instr. 3,						`
						4, and 5)						
						, ,						
										Amount		
							Date	Expiration		or		
								Date	little N	Number		
										of		
					Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
BARNHOLT EDWARD W ADOBE INC. 345 PARK AVENUE SAN JOSE, CA 95110	X							

Signatures

/s/ Allison Blais, as attorney-in-fact 12/19/2018

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by the Barnholt Family Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. (12) TYPE OF REPORTING PERSON ** IN

CUSIP No. 046224101 13G/A Page 8 of 12 Pages The Schedule 13G/A filed on February 14, 2006 is hereby amended and restated by this Amendment No. 2 to the Schedule 13G. Item 1(a). Name of Issuer: The name of the issuer is Astec Industries, Inc. (the "Company"). Item 1(b). Address of Issuer's Principal Executive Offices: The Company's principal executive offices are located at 1725 Shepherd Road, Chattanooga, Tennessee 37421. Item 2(a). Name of Person Filing: This statement is filed by: (i) Tontine Partners, L.P., a Delaware limited partnership ("TP") with respect to the shares of Common Stock directly owned by it; (ii) Tontine Management, L.L.C., a limited liability company organized under the laws of the State of Delaware ("TM"), with respect to the shares of Common Stock directly owned by TP; (iii) Tontine Overseas Associates, L.L.C., a limited liability company organized under the laws of the State of Delaware ("TOA"), which serves as investment manager to Tontine Capital Overseas Master Fund, L.P., a Cayman Islands partnership ("TCO"), Tontine Overseas Fund, Ltd. ("TOF"), a company organized under the laws of the Cayman Islands, with respect to the shares of Common Stock directly owned by TOF; (iv) Tontine Capital Partners, L.P., a Delaware limited partnership ("TCP") with respect to the shares of Common Stock directly owned by

Reporting Owners 2

it; (v) Tontine Capital Management, L.L.C., a Delaware limited liability company organized under the laws of the State of Delaware ("TCM"), with respect to the shares of Common Stock directly owned by TCP; and (vi) Jeffrey L. Gendell, a United States citizen ("Mr. Gendell") with respect to the shares of Common Stock directly owned by each of TP, TCO, TOF and TCP. The foregoing persons are hereinafter sometimes collectively referred to as the "Reporting Persons." Any disclosures herein with respect to persons other than the Reporting Persons are made on information and belief after making inquiry to the appropriate party. CUSIP No. 046224101 13G/A Page 9 of 12 Pages Item 2(b). Address of Principal Business Office or, if None, Residence: The address of the business office of each of the Reporting Persons is 55 Railroad Avenue, Greenwich, Connecticut 06830. Item 2(c). Citizenship: See Item 2(a) above. Item 2(d). Title of Class of Securities: Common Stock, \$0.20 par value (the "Common Stock") Item 2(e). CUSIP Number: 046224101 Item 3. If this statement is filed pursuant to Rules 13d-1(b) or 13d-2(b) or (c), check whether the person filing is a: (a) [] Broker or dealer registered under Section 15 of the Act, (b) [] Bank as defined in Section 3(a)(6) of the Act, (c) [] Insurance Company as defined in Section 3(a)(19) of the Act, (d) [] Investment Company registered under Section 8 of the Investment Company Act of 1940, (e) [] Investment Adviser in accordance with Rule 13d-1(b)(1)(ii)(E), (f) [] Employee Benefit Plan or Endowment Fund in accordance with 13d-1(b)(1)(ii)(F), (g) [] Parent Holding Company or control person in accordance with Rule 13d-1(b)(1)(ii)(G), (h) [Savings Association as defined in Section 3(b) of the Federal Deposit Insurance Act, (i) [] Church Plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act of 1940, (j) [] Group, in accordance with Rule 13d-1(b)(1)(ii)(J). Not applicable. CUSIP No. 046224101 13G/A Page 10 of 12 Pages Item 4. Ownership. A. Tontine Partners, L.P. (a) Amount beneficially owned: 424,337 (b) Percent of class; 1.96% The percentages used herein and in the rest of Item 4 are calculated based upon the 21,603,920 shares of Common Stock issued and outstanding as of July 31, 2006 as reflected in the Company's Form 10-Q for the quarterly period ended June 30, 2006. (c)(i) Sole power to vote or direct the vote: -0- (ii) Shared power to vote or direct the vote: 424,337 (iii) Sole power to dispose or direct the disposition: -0- (iv) Shared power to dispose or direct the disposition: 424,337 B. Tontine Management, L.L.C. (a) Amount beneficially owned: 424,337 (b) Percent of class: 1.96% (c)(i) Sole power to vote or direct the vote: -0- (ii) Shared power to vote or direct the vote: 424,337 (iii) Sole power to dispose or direct the disposition: -0- (iv) Shared power to dispose or direct the disposition: 424,337 C. Tontine Overseas Associates, L.L.C. (a) Amount beneficially owned: 399,824 (b) Percent of class: 1.85% (c)(i) Sole power to vote or direct the vote: -0- (ii) Shared power to vote or direct the vote: 399,824 (iii) Sole power to dispose or direct the disposition: -0- (iv) Shared power to dispose or direct the disposition: 399,824 D. Tontine Capital Partners, L.P. (a) Amount beneficially owned: 1,647,482 (b) Percent of class: 7.63% (c)(i) Sole power to vote or direct the vote: -0- (ii) Shared power to vote or direct the vote: 1,647,482 (iii) Sole power to dispose or direct the disposition: -0- (iv) Shared power to dispose or direct the disposition: 1,647,482 E. Tontine Capital Management, L.L.C. (a) Amount beneficially owned: 1,647,482 (b) Percent of class: 7.63% (c)(i) Sole power to vote or direct the vote: -0- (ii) Shared power to vote or direct the vote: 1,647,482 (iii) Sole power to dispose or direct the disposition: -0- (iv) Shared power to dispose or direct the disposition: 1,647,482 F. Jeffrey L. Gendell (a) Amount beneficially owned: 2,471,643 (b) Percent of class: 11.44% (c)(i) Sole power to vote or direct the vote: -0- (ii) Shared power to vote or direct the vote: 2,471,643 (iii) Sole power to dispose or direct the disposition: -0- (iv) Shared power to dispose or direct the disposition: 2,471,643 CUSIP No. 046224101 13G/A Page 11 of 12 Pages Item 5. Ownership of Five Percent or Less of a Class. Not applicable. Item 6. Ownership of More than Five Percent on Behalf of Another Person. TM, the general partner of TP, has the power to direct the affairs of TP, including decisions respecting the disposition of the proceeds from the sale of the shares of the Company. TCM, the general partner of TCP, has the power to direct the affairs of TCP, including decisions respecting the disposition of the proceeds from the sale of the shares of the Company. Mr. Gendell is the managing member of TM, TCM and TOA, and in that capacity directs their operations. Each of the clients of TOA has the power to direct the receipt of dividends from or the proceeds of sale of such shares. Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company. Not applicable. Item 8. Identification and Classification of Members of the Group. See Item 2. Item 9. Notice of Dissolution of Group. Not applicable. Item 10. Certification. Each of the Reporting Persons hereby makes the following certification: By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect. CUSIP No. 046224101 13G/A Page 12 of 12 Pages

SIGNATURES After reasonable inquiry and to the best of our knowledge and belief, the undersigned certify that the information set forth in this statement is true, complete and correct. DATED: August 21, 2006 /s/ JEFFREY L. GENDELL ------ Jeffrey L. Gendell, individually, and as managing member of Tontine Management, L.L.C., general partner of Tontine Partners, L.P., and as managing member of Tontine Capital Management, L.L.C. general partner of Tontine Capital Partners, L.P. and as managing member of Tontine Overseas Associates, L.L.C.