SEVCON, INC. Form SC 13D/A November 27, 2013
SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549
SCHEDULE 13D
Under the Securities Exchange Act of 1934 (Amendment No. 30)
Sevcon, Inc. (formerly Tech/Ops Sevcon, Inc.) (Name of Issuer)
Common Stock \$0.10 Par Value Per Share (Title of Class of Securities)
<u>81783K108</u>
(CUSIP Number)
David Goldman GAMCO Investors, Inc. One Corporate Center Rye, New York 10580-1435 (914) 921-5000 (Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)
November 27, 2013
(Date of Event which Requires Filing of this Statement)
If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§ 240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box .
1

1	81783K108 Names of reporting persons I.R.S. identification nos. of above persons (entities only) Gabelli Funds, LLC I.D. No. 13-4044523 Check the appropriate box if a member of a group (SEE INSTRUCTIONS) (a)
2	
	(b)
3	Sec use only
4	Source of funds (SEE INSTRUCTIONS) 00-Funds of investment advisory clients
5	Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e) X
6	Citizenship or place of organization New York
Number Of	: 7 Sole voting power
Shares	None (Item 5)
Beneficially	Shared voting power
Owned	: None
By Each	: 9 Sole dispositive power
Reporting	: 265,033 (Item 5)
Person	$: \stackrel{:10}{:} \text{Shared dispositive power}$
With	: None
11	Aggregate amount beneficially owned by each reporting person

12	265,033 (Item 5) Check box if the aggregate amount in row (11) excludes certain shares (SEE INSTRUCTIONS)
13	Percent of class represented by amount in row (11)
14	7.63%  Type of reporting person (SEE INSTRUCTIONS) IA
2	

CUSIP No.	81783K108 Names of reporting persons I.R.S. identification nos. of above persons (entities only) GAMCO Asset Management Inc. I.D. No. 13-4044521
2	Check the appropriate box if a member of a group (SEE INSTRUCTIONS) (a)
	(b)
3	Sec use only
4	Source of funds (SEE INSTRUCTIONS) 00-Funds of investment advisory clients
5	Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e)
6	Citizenship or place of organization New York
Number Of	: 7 Sole voting power
Shares	: 563,900 (Item 5)
Beneficially	: 8 Shared voting power
Owned	: None
By Each	: 9 Sole dispositive power
Reporting	: 563,900 (Item 5)
Person	:10 Shared dispositive power
With	: None
11	Aggregate amount beneficially owned by each reporting person

12	Check box if the aggregate amount in row (11) excludes certain shares (SEE INSTRUCTIONS) Percent of class represented by amount in row (11)
14	16.23%  Type of reporting person (SEE INSTRUCTIONS) IA, CO
3	

CUSIP No.	Names of reporting persons I.R.S. identification nos. of above persons (entities only) Teton Advisors, Inc. I.D. No. 13-4008049 Check the appropriate box if a member of a group (SEE INSTRUCTIONS) (a)	
2	(b)	
3	Sec use only	
4	Source of funds (SEE INSTRUCTIONS) 00 – Funds of investment advisory clients	
5	Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e)	
6	Citizenship or place of organization Delaware	
Number Of	Sole voting power	
Shares	: 391,235 (Item 5)	
Beneficiall	s: 8 Shared voting power	
Owned	: None	
By Each	: 9 Sole dispositive power	
Reporting	391,235 (Item 5)	
Person	:10 Shared dispositive power	
With	None	
11	Aggregate amount beneficially owned by each reporting person	
	391,235 (Item 5)	
12	Check box if the aggregate amount in row (11) excludes certain shares (SEE INSTRUCTIONS)	
13	Percent of class represented by amount in row (11)	
	11.26%	
14	Type of reporting person (SEE INSTRUCTIONS) IA, CO	

CUSIP No.	81783K108
1	Names of reporting persons I.R.S. identification nos. of above persons (entities only) Gabelli Securities, Inc. I.D. No.
2	13-3379374 Check the appropriate box if a member of a group (SEE INSTRUCTIONS) (a)
	(b)
3	Sec use only
4	Source of funds (SEE INSTRUCTIONS) 00 – Funds of investment advisory clients
5	Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e)
6	Citizenship or place of organization Delaware
Number Of	: 7 Sole voting power
Shares	: 7,000 (Item 5)
Beneficially	: 8 Shared voting power
Owned	: None
By Each	: 9 Sole dispositive power
Reporting	: 7,000 (Item 5)
Person	:10 Shared dispositive power
With	: None
11	Aggregate amount beneficially owned by each reporting person

5	
14	0.20% Type of reporting person (SEE INSTRUCTIONS) HC, CO, IA
13	(SEE INSTRUCTIONS) Percent of class represented by amount in row (11)
12	Check box if the aggregate amount in row (11) excludes certain shares
	7,000 (Item 5)

CUSIP No.	81783K108 Names of reporting persons I.R.S. identification nos. of above persons (entities only) GGCP, Inc.
2	I.D. No. 13-3056041 Check the appropriate box if a member of a group (SEE INSTRUCTIONS) (a)
	(b)
3	Sec use only
4	Source of funds (SEE INSTRUCTIONS) None
5	Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e)
6	Citizenship or place of organization Wyoming
Number Of	: 7 Sole voting power
Shares	None (Item 5)
Beneficially	Shared voting power
Owned	None
By Each	: 9 Sole dispositive power :
Reporting	: None (Item 5)
Person	:10 Shared dispositive power
With	: None
11	Aggregate amount beneficially owned by each reporting person

	None (Item 5)
12	Check box if the aggregate amount in row (11) excludes certain shares (SEE INSTRUCTIONS) X
13	Percent of class represented by amount in row (11)
	0.00%
14	Type of reporting person (SEE INSTRUCTIONS) HC, CO
6	

### CUSIP No. 81783K108 Names of reporting persons I.R.S. identification nos. of above persons (entities only) GAMCO Investors, Inc. 1 I.D. No. 13-4007862 Check the appropriate box if a member of a group (SEE INSTRUCTIONS) (a) (b) Sec use only 3 Source of funds (SEE **INSTRUCTIONS**) 4 None Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 5 (e) Citizenship or place of organization 6 Delaware Number Of : <sup>7</sup> Sole voting power None (Item 5) Shares Beneficially: 8 Shared voting power Owned None Sole dispositive power By Each None (Item 5) Reporting :10 Shared dispositive power Person

With

11

None

Aggregate amount

reporting person

beneficially owned by each

	None (Item 5)
12	Check box if the aggregate amount in row (11) excludes certain shares (SEE INSTRUCTIONS) X
13	Percent of class represented by amount in row (11)
14	0.00%  Type of reporting person (SEE INSTRUCTIONS) HC, CO
7	пс, со

CUSIP No.  1	81783K108 Names of reporting persons I.R.S. identification nos. of above persons (entities only) Mario J. Gabelli Check the appropriate box if a member of a group (SEE INSTRUCTIONS) (a)
	(b)
3	Sec use only
4	Source of funds (SEE INSTRUCTIONS) None
5	Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e)
6	Citizenship or place of organization USA
Number Of	: 7 Sole voting power
Shares	None (Item 5)
Beneficially	Shared voting power
Owned	None
By Each	: 9 Sole dispositive power
Reporting	None (Item 5)
Person	:10 Shared dispositive power
With	None
11	Aggregate amount beneficially owned by each reporting person
12	None (Item 5) Check box if the aggregate amount in row (11) excludes

	certain shares (SEE INSTRUCTIONS) X
13	Percent of class represented by amount in row (11)
14	0.00% Type of reporting person (SEE INSTRUCTIONS) IN
8	

#### Item 1. Security and Issuer

This Amendment No. 30 to Schedule 13D on the Common Stock, par value \$0.10, of Sevcon, Inc., (formerly Tech/Ops Sevcon, Inc.) (the "Issuer") is being filed on behalf of the undersigned to amend the Schedule 13D, as amended (the "Schedule 13D") which was originally filed on July 18, 2005. Unless otherwise indicated, all capitalized terms used herein but not defined herein shall have the same meanings as set forth in the Schedule 13D.

#### Item 2. <u>Identity and Background</u>

Item 2 to Schedule 13D is amended, in pertinent part, as follows:

This statement is being filed by Mario J. Gabelli ("Mario Gabelli") and various entities which he directly or indirectly controls or for which he acts as chief investment officer. These entities engage in various aspects of the securities business, primarily as investment adviser to various institutional and individual clients, including registered investment companies and pension plans, and as general partner or the equivalent of various private investment partnerships or private funds. Certain of these entities may also make investments for their own accounts. The foregoing persons in the aggregate often own beneficially more than 5% of a class of equity securities of a particular issuer. Although several of the foregoing persons are treated as institutional investors for purposes of reporting their beneficial ownership on the short-form Schedule 13G, the holdings of those who do not qualify as institutional investors may exceed the 1% threshold presented for filing on Schedule 13G or implementation of their investment philosophy may from time to time require action which could be viewed as not completely passive. In order to avoid any question as to whether their beneficial ownership is being reported on the proper form and in order to provide greater investment flexibility and administrative uniformity, these persons have decided to file their beneficial ownership reports on the more detailed Schedule 13D form rather than on the short-form Schedule 13G and thereby to provide more expansive disclosure than may be necessary.

(a), (b) and (c) - This statement is being filed by one or more of the following persons: GGCP, Inc. ("GGCP"), GGCP Holdings LLC ("GGCP Holdings"), GAMCO Investors, Inc. ("GBL"), Gabelli Funds, LLC ("Gabelli Funds"), GAMCO Asset Management Inc. ("GAMCO"), Teton Advisors, Inc. ("Teton Advisors"), Gabelli Securities, Inc. ("GSI"), G.research, Inc. ("G.research"), MJG Associates, Inc. ("MJG Associates"), Gabelli Foundation, Inc. ("Foundation"), MJG-IV Limited Partnership ("MJG-IV"), and Mario Gabelli. Those of the foregoing persons signing this Schedule 13D are hereinafter referred to as the "Reporting Persons".

GGCP makes investments for its own account and is the manager and a member of GGCP Holdings which is the controlling shareholder of GBL. GBL, a public company listed on the New York Stock Exchange, is the parent company for a variety of companies engaged in the securities business, including those named below.

GAMCO, a wholly-owned subsidiary of GBL, is an investment adviser registered under the Investment Advisers Act of 1940, as amended ("Advisers Act"). GAMCO is an investment manager providing discretionary managed account services for employee benefit plans, private investors, endowments, foundations and others.

GSI, a majority-owned subsidiary of GBL, is an investment adviser registered under the Advisers Act and serves as a general partner or investment manager to limited partnerships and offshore investment companies and other accounts. As a part of its business, GSI may purchase or sell securities for its own account. GSI is a general partner or investment manager of a number of funds or partnerships, including Gabelli Associates Fund, L.P., Gabelli Associates Fund II, L.P., Gabelli Associates Limited, Gabelli Associates Limited II E, ALCE Partners, L.P., Gabelli Capital Structure Arbitrage Fund Limited, Gabelli Intermediate Credit Fund L.P., Gabelli Japanese Value Partners L.P., GAMA Select Energy + L.P., GAMCO Medical Opportunities L.P., GAMCO Long/Short Equity Fund, L.P., Gabelli Multimedia Partners, L.P, Gabelli International Gold Fund Limited and Gabelli Green Long/Short Fund, L.P.

G.research, a wholly-owned subsidiary of GSI, is a broker-dealer registered under the Securities Exchange Act of 1934, as amended ("1934 Act"), which as a part of its business regularly purchases and sells securities for its own account.

Gabelli Funds, a wholly owned subsidiary of GBL, is a limited liability company. Gabelli Funds is an investment adviser registered under the Advisers Act which provides advisory services for The Gabelli Equity Trust Inc., The Gabelli Asset Fund, The GAMCO Growth Fund, The Gabelli Convertible and Income Securities Fund Inc., The Gabelli Value Fund Inc., The Gabelli Small Cap Growth Fund, The Gabelli Equity Income Fund, The Gabelli ABC Fund, The GAMCO Global Telecommunications Fund, The Gabelli Gold Fund, Inc., The Gabelli Multimedia Trust

Inc., The Gabelli Global Rising Income & Dividend Fund, The Gabelli Capital Asset Fund, The GAMCO International Growth Fund, Inc., The GAMCO Global Growth Fund, The Gabelli Utility Trust, The GAMCO Global Opportunity Fund, The Gabelli Utilities Fund, The Gabelli Dividend Growth Fund, The GAMCO Mathers Fund, The Gabelli Focus Five Fund, The Comstock Capital Value Fund, The Gabelli Dividend and Income Trust, The Gabelli Global Utility & Income Trust, The GAMCO Global Gold, Natural Resources, & Income Trust by Gabelli, The GAMCO Natural Resources Gold & Income Trust by Gabelli, The GDL Fund, Gabelli Enterprise Mergers & Acquisitions Fund, The Gabelli SRI Fund, Inc., and The Gabelli Healthcare & Wellness Rx Trust, (collectively, the "Funds"), which are registered investment companies. Gabelli Funds is also the investment adviser to The GAMCO International SICAV (sub-funds GAMCO Stategic Value and GAMCO Merger Arbitrage), a UCITS III vehicle. Teton Advisors, an investment adviser registered under the Advisers Act, provides discretionary advisory services to The TETON Westwood Mighty Mites<sup>sm</sup> Fund, The TETON Westwood Income Fund, The TETON Westwood SmallCap Equity Fund, and The TETON Westwood Mid-Cap Equity Fund.

MJG Associates provides advisory services to private investment partnerships and offshore funds. Mario Gabelli is the sole shareholder, director and employee of MJG Associates. MJG Associates is the Investment Manager of Gabelli International Limited and Gabelli Fund, LDC. Mario J. Gabelli is the general partner of Gabelli Performance Partnership, LP.

The Foundation is a private foundation. Mario Gabelli is the Chairman, a Trustee and the Investment Manager of the Foundation. Elisa M. Wilson is the President of the Foundation.

Mario Gabelli is the controlling stockholder, Chief Executive Officer and a director of GGCP and Chairman and Chief Executive Officer of GBL. Mario Gabelli is also a member of GGCP Holdings. Mario Gabelli is the controlling shareholder of Teton.

MJG-IV is a family partnership in which Mario Gabelli is the general partner. Mario Gabelli has less than a 100% interest in MJG-IV. MJG-IV makes investments for its own account. Mario Gabelli disclaims ownership of the securities held by MJG-IV beyond his pecuniary interest.

The Reporting Persons do not admit that they constitute a group.

GAMCO and G.research are New York corporations and GBL, GSI, and Teton Advisors are Delaware corporations, each having its principal business office at One Corporate Center, Rye, New York 10580. GGCP is a Wyoming corporation having its principal business office at 140 Greenwich Avenue, Greenwich, CT 06830. GGCP Holdings is a Delaware limited liability corporation having its principal business office at 140 Greenwich Avenue, Greenwich, CT 06830. Gabelli Funds is a New York limited liability company having its principal business office at One Corporate Center, Rye, New York 10580. MJG Associates is a Connecticut corporation having its principal business office at 140 Greenwich Avenue, Greenwich, CT 06830. The Foundation is a Nevada corporation having its principal offices at 165 West Liberty Street, Reno, Nevada 89501.

For information required by instruction C to Schedule 13D with respect to the executive officers and directors of the foregoing entities and other related persons (collectively, "Covered Persons"), reference is made to Schedule I annexed hereto and incorporated herein by reference.

(d) – Not applicable.

(e) – On April 24, 2008, Gabelli Funds settled an administrative proceeding with the Securities and Exchange Commission ("Commission") regarding frequent trading in shares of a mutual fund it advises, without admitting or denying the findings or allegations of the Commission. The inquiry involved Gabelli Funds' treatment of one investor who had engaged in frequent trading in one fund (the prospectus of which did not at that time impose limits on frequent trading), and who had subsequently made an investment in a hedge fund managed by an affiliate of Gabelli Funds. The investor was banned from the fund in August 2002, only after certain other investors were banned. The principal terms of the settlement include an administrative cease and desist order from violating Section 206(2) of the Investment Advisers Act of 1940, Section 17(d) of the Investment Company Act of 1940 ("Company Act"), and Rule 17d-1 thereunder, and Section 12(d)(1)(B)(1) of the Company Act, and the payment of \$11 million in disgorgement and prejudgment interest and \$5 million in a civil monetary penalty. Gabelli Funds was also required to retain an independent distribution consultant to develop a plan and oversee distribution to shareholders of the monies paid to the Commission, and to make certain other undertakings.

On January 12, 2009, Gabelli Funds settled an administrative proceeding with the Commission without admitting or denying the findings or allegations of the Commission, regarding Section 19(a) of the Company Act and

Rule 19a-1 thereunder by two closed-end funds. Section 19(a) and Rule 19a-1 require registered investment companies, when making a distribution in the nature of a dividend from sources other than net investment income, to contemporaneously provide written statements to shareholders that adequately disclose the source or sources of such distribution. While the two funds sent annual statements and provided other materials containing this information, the shareholders did not receive the notices required by Rule 19a-1 with any of the distributions that were made for 2002 and 2003. As part of the settlement Gabelli Funds agreed to pay a civil monetary penalty of \$450,000 and to cease and desist from causing violations of Section 19(a) and Rule 19a-1. In connection with the settlement, the Commission noted the remedial actions previously undertaken by Gabelli Funds.

(f) – Reference is made to Schedule I hereto.

#### Item 3. Source and Amount of Funds or Other Consideration

Item 3 to Schedule 13D is amended, in pertinent part, as follows:

The Reporting Persons used an aggregate of approximately \$36,910 to purchase the additional Securities reported as beneficially owned in Item 5 since the most recent filing on Schedule 13D. GAMCO and Gabelli Funds used approximately \$9,837 and \$25,029, respectively, of funds that were provided through the accounts of certain of their investment advisory clients (and, in the case of some of such accounts at GAMCO, may be through borrowings from client margin accounts) in order to purchase the additional Securities for such clients. Teton Advisors used approximately \$2,044 of funds of investment advisory clients to purchase the additional Securities reported by it.

#### Item 4. Purpose of Transaction

Item 4 to Schedule 13D is amended, in pertinent part, as follows:

On November 27, 2013, GAMCO sent a letter to the Issuer detailing GAMCO's intention to submit nominations of two individuals as nominees for Director for election to the Issuer's Board of Directors at the Issuer's 2014 annual meeting. If these individuals become nominees for election as a Director, GAMCO plans to cast votes for the election of such individuals with the shares over which it has voting authority. A copy of the letter is attached as Exhibit A.

#### Item 5. Interest In Securities Of The Issuer

Item 5 to Schedule 13D is amended, in pertinent part, as follows:

(a) The aggregate number of Securities to which this Schedule 13D relates is 1,227,168 shares, representing 35.32% of the 3,474,388 shares outstanding as reported in the Issuer's most recent Form 10-Q for the quarterly period ended June 29, 2013. The Reporting Persons beneficially own those Securities as follows:

Shares of % of Class of Name Common Stock Common

Gabelli Funds

265,033 7.63%

GAMCO 563,900 16.23%

Teton Advisors 391,235 11.26% GSI 7,000 0.20%

Mario Gabelli is deemed to have beneficial ownership of the Securities owned beneficially by each of the foregoing persons. GSI is deemed to have beneficial ownership of the Securities owned beneficially by G.research. GBL and GGCP are deemed to have beneficial ownership of the Securities owned beneficially by each of the foregoing persons other than Mario Gabelli and the Foundation.

(b) Each of the Reporting Persons and Covered Persons has the sole power to vote or direct the vote and sole power to dispose or to direct the disposition of the Securities reported for it, either for its own benefit or for the benefit of its investment clients or its partners, as the case may be, except that (i) with respect to the 265,033 shares of Common Stock owned by the Gabelli Small Cap Growth Fund, the proxy voting committee of the Fund has taken and exercises in its sole discretion the entire voting power with respect to the shares held by such Fund, (ii) at any time, the Proxy

Voting Committee of each such Fund may take and exercise in its sole discretion the entire voting power with respect to the shares held by such fund under special circumstances such as regulatory considerations, and (iii) the power of Mario Gabelli, GBL, and GGCP is indirect with respect to Securities beneficially owned directly by other Reporting Persons.

- (c) Information with respect to all transactions in the Securities which were effected during the past sixty days or since the most recent filing on Schedule 13D, whichever is less, by each of the Reporting Persons and Covered Persons is set forth on Schedule II annexed hereto and incorporated herein by reference.
- (e) Not applicable.

#### **Signature**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: November 27, 2013

GGCP, INC. MARIO J. GABELLI

#### By:/s/ Douglas R. Jamieson

Douglas R. Jamieson Attorney-in-Fact

TETON ADVISORS, INC.

#### By:/s/ David Goldman

David Goldman

Assistant Secretary - Teton Advisors, Inc.

GABELLI FUNDS, LLC

#### By:/s/ Bruce N. Alpert

Bruce N. Alpert

Chief Operating Officer - Gabelli Funds, LLC

GAMCO ASSET MANAGEMENT INC.

GAMCO INVESTORS, INC.

GABELLI SECURITIES, INC.

#### By:/s/ Douglas R. Jamieson

Douglas R. Jamieson

President & Chief Operating Officer – GAMCO Investors, Inc.

President – GAMCO Asset Management Inc.

President – Gabelli Securities, Inc.

Schedule I

Information with Respect to Executive

Officers and Directors of the Undersigned

Schedule I to Schedule 13D is amended, in pertinent part, as follows:

The following sets forth as to each of the executive officers and directors of the undersigned: his name; his business address; his present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted. Unless otherwise specified, the principal employer of each such individual is GAMCO Asset Management Inc., Gabelli Funds, LLC, Gabelli Securities, Inc., G.research, Inc., Teton Advisors, Inc., or GAMCO Investors, Inc., the business address of each of which is One Corporate Center, Rye, New York 10580, and each such individual identified below is a citizen of the United States. To the knowledge of the undersigned, during the last five years, no such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors), and no such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction as a result of which he was or is subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities law or finding any violation with respect to such laws except as reported in Item 2(d) and (e) of this Schedule 13D.

GGCP, Inc. Directors:

Marc J. Gabelli

Chief Executive

Officer of GGCP,

Inc., and Chairman & Chief Executive

Officer of GAMCO

Mario J. Gabelli Investors, Inc.;

Director/Trustee of all registered investment companies advised by Gabelli Funds,

LLC.

Chairman of The LGL Group, Inc.

2525 Shader

Road

Orlando, FL 32804

Vice President -

**Trading** 

Matthew R. Gabelli
G.research, Inc.
One Corporate

Center

Rye, NY 10580

Secretary & Treasurer

Heasulei

Charles C. Baum United Holdings

Co., Inc. 2545 Wilkens Avenue

Baltimore, MD

21223

Fredric V. Salerno Chairman;

Former Vice Chairman and Chief Financial

Officer Verizon

Communications

Officers:

Chief Executive

Mario J. Gabelli Officer and Chief

Investment

Officer

Marc J. Gabelli President

Vice President,

Silvio A. Berni Assistant

Secretary and Controller

**GGCP Holdings LLC** 

Members:

GGCP, Inc.

Manager and
Member

Mario J. Gabelli

Member

GAMCO Investors, Inc.

Directors:

Edwin L. Artzt Former Chairman

and Chief

**Executive Officer** 

Procter & Gamble

Raymond C. Avansino Company

900 Adams Crossing Cincinnati, OH

45202

Richard L. Bready

Chairman & Chief Executive

Officer

E.L. WiegandFoundation165 West Liberty

Street

Reno, NV 89501

Former Chairman

and Chief

Executive Officer Nortek, Inc.

50 Kennedy Plaza

Providence, RI

02903

See above

Mario J. Gabelli

Director c/o GAMCO Investors, Inc. One Corporate

Rye, NY 10580

Former Chairman

and Chief

Center

**Executive Officer** 

Eugene R. McGrath

Elisa M. Wilson

Consolidated Edison, Inc. 4 Irving Place New York, NY 10003

Former President

& Chief

Robert S. Prather

Operating Officer Gray Television,

Inc.

4370 Peachtree Road, NE Atlanta, GA 30319

Officers:

Chairman and Chief Executive

Officer

Douglas R. Jamieson

President and Chief Operating

Henry G. Van der Eb

Officer

Bruce N. Alpert

Mario J. Gabelli

Senior Vice President

Agnes Mullady

Senior Vice

Robert S. Zuccaro

President

Kevin Handwerker

Senior Vice President

Executive Vice President and Chief Financial

Officer

Executive Vice President, General Counsel and Secretary

GAMCO Asset Management Inc.

Directors:

Douglas R. Jamieson Regina M. Pitaro William S. Selby

Mario J. Gabelli

Officers:

Chief Executive

Officer and Chief

Investment

Of ficer-Value

Portfolios

President, Chief Operating Officer and Managing Director

Douglas R. Jamieson

Chief Financial

Officer

David Goldman

Robert S. Zuccaro

General Counsel,

Thomas J. Hearity

Secretary & Chief

Compliance Officer

Assistant Secretary

Gabelli Funds, LLC

Officers:

Mario J. Gabelli Chief Investment

Officer - Value

Portfolios
Bruce N. Alpert Executive Vice

President and Chief Operating Edgar Filing: SEVCON, INC. - Form SC 13D/A
Officer
President and

Agnes Mullady

Chief Operating
Officer – Open
End Fund
Division

Robert S. Zuccaro Chief Financial Officer

Teton Advisors, Inc. Directors:

Howard F. Ward Chairman of the

Board Nicholas F. Galluccio

Vincent J. Amabile Chief Executive
Officer and
President

John Tesoro

Officers:

Howard F. Ward See above

Nicholas F. Galluccio See above

Robert S. Zuccaro Chief Financial Officer

David Goldman

Assistant Secretary

Tiffany Hayden

Secretary

Gabelli Securities, Inc.

Directors:

President of W. R. Blake & Sons,

Inc.

Robert W. Blake 196-20 Northern

Boulevard Flushing, NY

11358

Douglas G. DeVivo DeVivo Asset

Management

Company LLC P.O. Box 2048 Menlo Park, CA

94027

Douglas R. Jamieson President

> Managing Partner of

Creative Casinos,

LLC

Daniel R. Lee 10801 W.

Charleston Blvd.,

Suite 420 Las Vegas, NV

89135

Officers:

Douglas R. Jamieson See above

Robert S. Zuccaro Chief Financial Officer

Diane M. LaPointe Controller

General Counsel and Secretary Thomas J. Hearity

David M. Goldman Assistant Secretary

Joel Torrance Chief Compliance Officer

G.research, Inc.

Directors:

Senior Trader – G.research, Inc. Irene Smolicz

> Daniel M. Miller Chairman

Officers:

See above Daniel M. Miller

Cornelius V. McGinity President

Vice President

Bruce N. Alpert

Diane M. LaPointe Controller and Financial & Operations Principal

Douglas R. Jamieson Secretary

David M. Goldman **Assistant Secretary** 

Josephine D. LaFauci Chief Compliance Officer

Gabelli Foundation, Inc.

Officers:

Mario J. Gabelli Chairman, Trustee & Chief Investment Officer

Elisa M. Wilson President

Marc J. Gabelli Trustee

Matthew R. Gabelli Trustee

Michael Gabelli Trustee

MJG-IV Limited Partnership

Officers:

Mario J. Gabelli General Partner

#### **SCHEDULE II**

INFORMATION WITH RESPECT TO
TRANSACTIONS EFFECTED DURING THE PAST SIXTY DAYS OR
SINCE THE MOST RECENT FILING ON SCHEDULE 13D (1)
SHARES PURCHASED AVERAGE

DATE SOLD(-) PRICE(2)

COMMON STOCK-SEVCON, INC.

GAMCO ASSET MANAGEMENT INC.

10/31/13 400 4.9700 10/24/13 121 4.7700

GABELLI FUNDS, LLC.

GABELLI SMALL CAP GROWTH FUND

11/25/13 5,000 5.0057

- (1) UNLESS OTHERWISE INDICATED, ALL TRANSACTIONS WERE EFFECTED ON THE NASDAQ GLOBAL SECURITIES MARKET.
- (2) PRICE EXCLUDES COMMISSION.