

Edgar Filing: GRAND TOYS INTERNATIONAL INC - Form 3

GRAND TOYS INTERNATIONAL INC

Form 3

March 12, 2001

FORM 3

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 OMB APPROVAL

OMB Number

Expires:

Estimated average burden
hours per response.....0.5

1. Name and Address of Reporting Person
2. Date of Event Requiring Statement (month/day/year)
4. Issuer Name and Ticker or Trading Symbol

1. Clarke Tania M.
2. Grand Toys International, Inc. ("GRIN")
(Last) (First) (Middle)
12/1/2000

5. Relationship of Reporting Person to Issuer

6. If Amendment, Date of Original
c/o Grand Toys International, Inc.
1710 Rte. Transcanadienne

3. IRS Identification

Number of Reporting
(Check all applicable)

Director 10% Owner
(Month/Day/Year)
(Street)

Person, if an Entity

☒ Officer (give ☐ Other (specify

7. Individual or Joint/Group Filing

Dorval Quebec, CANADA H9P 1H7
(Voluntary)

Title below) below)
Executive Vice President and Chief Financial Officer
(Check Applicable Line)

X Form filed by One Reporting
(City) (State) (Zip)

Person
☐ Form filed by More than One
Reporting Person

Table 1 - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)
2. Amount of Securities
Beneficially Owned

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(Instr. 4)

3. Ownership

Form: Direct

(D) or Indirect

(I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

*If the Form is filed by more than one Reporting Person, see Instruction

5(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

FORM 3 (continued) Table II - Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and Expiration Date
(Month//Day/Year)

3. Title and Amount of Securities Underlying

Derivative Security

(Instr. 4)

4. Conversion or

Exercise

Price of

Derivative

Security

5. Ownership

Form of

Derivative

Security:

Direct

Indirect

Beneficial

Ownership

(Instr. 5)

Date

Exercisable

Expiration

Date

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Title
Amount
Or
Number
Of
Shares

(D) or
Indirect
(I)
(Instr. 5)

Common Stock Option
Immediately (1)
1/5/01
Common Stock
2,000
\$14.35
D

Common Stock Option
(2)
10/6/10
Common Stock
3,955
\$1.50
D

Common Stock Option
(3)
12/4/10
Common Stock
15,000
\$0.5625
D

Common Stock Option
(4)
12/18/10
Common Stock
30,000
\$0.3125
D

Explanation of Responses:

- (1) As of date hereof, all of the options are fully vested.
(2) The options are exercisable as follows: options to purchase 1,977 shares vest on October 6, 2001 and options to purchase 1,978 shares vest on October 6, 2002.
(3) The options are exercisable as follows: options to purchase 7,500 shares vest on each of December 4, 2001 and December 4, 2002, respectively.
(4) The options are exercisable as follows: options to purchase 15,000 shares vest on each of December 18, 2001 and December 18, 2002, respectively.

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

By: /s/

March 12, 2001

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**Signature of Reporting Person Date

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, See Instruction 6 for procedure.
SEC 1147 (9-93)