Edgar Filing: Grote Charles D - Form 4

Grote Charles	D											
Form 4 April 16, 2019	1											
	Л								OMB AF	PROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB	3235-0287				
Check this	box		wasn	ington, L	D.C. 2054	49			Number:	January 31,		
if no longer	STAT	EMENT O	F CHANG	ES IN B	ENEFIC	CIAL	OWN	ERSHIP OF	Expires: 200			
subject to Section 16.					CURITIES					Estimated average burden hours per		
Form 4 or									response 0.5			
Form 5 obligations		-					-	Act of 1934,				
may contin	ue.) of the Inve	•	•	•		935 or Section	l			
See Instruct 1(b).	tion	50(1			ompuny	1100	01 1740					
(Print or Type Re	sponses)											
1. Name and Add	dress of Repor	ting Person *	2. Issuer N	Jame and T	icker or T	rading	5	5. Relationship of I	Reporting Pers	son(s) to		
Grote Charles	Symbol	2. Issuer Name and Ticker or Trading Symbol				Issuer (Check all applicable)						
	FEDERAL AGRICULTURAL											
			MORTGA	AGE COI	RP [AGN	/]		(Cheek	t un applicable)		
(Last)	(First)	(Middle)	3. Date of E		isaction		-	Director _X Officer (give		Owner er (specify		
C/O FARMER MAC, 1999 K			-	(Month/Day/Year) 04/15/2019				below) below)				
STREET NW			04/13/201	.9				SVP Finan	ce and Investo	r Rel		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6	6. Individual or Joint/Group Filing(Check Applicable Line)				
							A					
							X Form filed by One Reporting Person Form filed by More than One Reporting					
WASHINGT	JN, DC 200	106					F	Person		1 0		
(City)	(State)	(Zip)	Table 1	I - Non-Dei	rivative Se	ecuriti	ies Acqui	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of		on Date 2A. D		3.	4. Securi			5. Amount of	6.	7. Nature of		
Security (Instr. 3)	(Month/Day	/Year) Execu any	ition Date, if	Transactio Code	on(A) or Di (Instr. 3	-		Securities Beneficially	Ownership Form:	Indirect Beneficial		
(IIISU: 5)		-	Code (Instr. 3, 4 and 5) h/Day/Year) (Instr. 8)			5)	Owned	Direct (D)	Ownership			
								Following Reported	or Indirect (I)	(Instr. 4)		
						(A)		Transaction(s)	(I) (Instr. 4)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Class C							\$					
Non-Voting	04/15/201	9		F	655 <u>(1)</u>	D		4,721.39 <u>(3)</u>	D			
Common Stock							(2)					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Grote Charles D - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	Date	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Grote Charles D C/O FARMER MAC, 1999 K STREET NW FOURTH FLOOR WASHINGTON, DC 20006			SVP Finance and Investor Rel					
Signatures								
Anjali Desai, as attorney-in-fact for Charles D Grote).	04/16/20	19					
**Signature of Reporting Person		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On April 15, 2019, 2,200 shares of restricted stock vested that were granted in May 2016, for which the Federal Agricultural Mortgage Corporation ("Farmer Mac") retained 655 shares to satisfy withholding requirements.

In accordance with a policy adopted by the Compensation Committee of Farmer Mac's Board of Directors, the price used for the
 (2) calculation of the number of shares withheld by Farmer Mac in satisfaction of tax liability is the closing price of Farmer Mac's Class C Non-Voting Common Stock on the New York Stock Exchange on the last trading day before the vesting date.

Includes 1,435 shares of unvested restricted stock previously granted pursuant to Farmer Mac's Amended and Restated 2008 Omnibus(3) Incentive Plan. The grants of restricted stock have been described in detail in Farmer Mac's prior filings with the Securities and Exchange Commission.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.