HOME BANCSHARES INC

Form 4

August 16, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

(7) (9)

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

may continue. See Instruction

1. Name and Address of Reporting Person *

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

Stock

(Print or Type Responses)

Carter Russell Davis III

				HOME BANCSHARES INC [HOMB]					(Check all applicable)			
(Last) (First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year) 08/12/2016					Director 10% Owner S Officer (give title Other (specify below)				
P.O. BOX 966												
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				Арр	6. Individual or Joint/Group Filing(Check Applicable Line)				
CONWAY, AR 72033									_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	Table 1	I - Non-De	rivative S	ecurit	ies Acquire	d, Disposed of, o	r Beneficially	y Owned	
	1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	Year) Execu- any	eemed tion Date, if h/Day/Year)	3. Transaction Code (Instr. 8)		sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Common				Code V	Amount	(D)	Price \$	13,604.801			
	Stock	08/12/2016			S	8,000	D	21.2181	(1) (2) (9)	D		
	Common Stock - Restricted								10,000 (2) (3) (4) (9)	D		
	Common Stock - Performance Based								16,000 <u>(5)</u> <u>(6)</u> <u>(9)</u>	D		
	Common								4,787.403	I	Ву	

401(k)

Common Stock $5,234 \frac{(9)}{2}$ I Held by IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	7. Title and a Underlying (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Stock Option	\$ 18.46 (10)					(8)	08/23/2025	Common Stock	40,000 (9)

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Carter Russell Davis III P.O. BOX 966 CONWAY, AR 72033

Centennial Bank Regional Pres.

Signatures

/s/ Russell Davis Carter, III by Rachel
Wesson 08/16/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 109.4658 shares (split adjusted) acquired through the Home BancShares, Inc. Dividend Reinvestment Plan since the last filing.

(2) The reporting person had 6,444 shares (split adjusted) vest since the last filing.

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- (3) Restricted Stock granted on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third anniversary of the award date.
- (4) Restricted Stock granted on June 4, 2013 will vest in 33 1/3% installments over three years each June 4th.
- The Performance Stock awarded on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third annual anniversary of the date that the performance goal is met. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.625 diluted earnings per share for four consecutive quarters or \$2.50 total diluted earnings per share over a period of four consecutive quarters.
- (6) The Performance Stock awarded on June 4, 2013 will "cliff" vest on the third annual anniversary of the date that the performance goal is met. The performance goal was met on September 30, 2013 and will therefore "cliff" vest 100% on September 30, 2016.
- (7) Includes 590.395 shares (split adjusted) acquired through the Home BancShares, Inc. 401(k) Plan since the last filing.
- Once the performance goal has been met, the Performance Stock Option awarded on August 24, 2015 will become exercisable in seven equal annual installments beginning on the first annual anniversary of the award date. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.625 diluted earnings per share for four consecutive quarters or \$2.50 total diluted earnings per share over a period of four consecutive quarters.
- (9) The reporting person received shares as a result of the Company declaring a 2-for-1 stock split to shareholders of record as of May 18, 2016 and made payable June 8, 2016.
- (10) The exercise price decreased and the number of shares exercisable increased as a result of the Company declaring a 2-for-1 stock split to shareholders of record May 18, 2016 and made payable June 8, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.