#### Edgar Filing: SEATTLE GENETICS INC /WA - Form 4/A

#### SEATTLE GENETICS INC /WA

Form 4/A August 24, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

Check this box

Expires:

**OMB APPROVAL** 

January 31, 2005

0.5

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Cline Darren S

(Last)

SEATTLE GENETICS INC /WA

(Check all applicable)

[SGEN]

3. Date of Earliest Transaction

Director 10% Owner

(First)

(Street)

(Month/Day/Year)

Symbol

X\_ Officer (give title Other (specify

21823 30TH DRIVE SE

(Middle)

(Zip)

below) 08/25/2015 EVP, Commercial

4. If Amendment, Date Original Filed(Month/Day/Year)

(Instr. 8)

6. Individual or Joint/Group Filing(Check

08/22/2016

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

BOTHELL, WA 98021

(City) (State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) 5. Amount of Securities Beneficially Owned Following

Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

(A) or

(Instr. 3, 4 and 5)

Transaction(s) (Instr. 3 and 4)

Common

Code V Amount (D) Price

> 40,973 (1) (2) D

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: SEATTLE GENETICS INC /WA - Form 4/A

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option Right to Buy	\$ 39.15	08/25/2015(2)		A	2,220	(3)	08/25/2025	Common Stock	2,220

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Cline Darren S							
21823 30TH DRIVE SE			EVP, Commercial				

21823 30TH DRIVE SE BOTHELL, WA 98021

## **Signatures**

/s/ Darren S. 08/24/2016 Cline

\*\*Signature of Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amount of securities beneficially owned following reported transactions includes restricted stock units subject to vesting.
  - Due to administrative error, on August 22, 2016, a Form 4 that had already been filed on August 25, 2015 was refiled (SEC Accession No. 0001203311-16-000118). This amendment to Form 4 is being filed solely to "withdraw" the mistakenly re-filed Form 4. One of the
- (2) transactions reported in the August 22, 2016 Form 4 (an acquisition of 2,200 incentive stock options to purchase Common Stock) is being repeated in this amendment solely to be able to file this amendment with the SEC's EDGAR system. The Reporting Person's holdings as of the date of this Form 4/A filing are set forth in Table 1 above.
- (3) The option shares shall vest at a rate of 25% on 08/25/2016 and monthly thereafter until all shares are fully vested four years from grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2