CONSOL Energy Inc. Form 4 October 01, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

. .

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading CONSOL Energy Inc. Issuer Symbol CONSOL Coal Resources LP [CCR] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director \_X\_\_ 10% Owner \_ Other (specify Officer (give title 1000 CONSOL ENERGY DRIVE, 09/27/2018 below) SUITE 100 4. If Amendment, Date Original (Street) 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CANONSBURG, PA 15317

| (City)   | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |   |                  |  |  |   |  |
|--|---|--|---|---|------------------|--|--|---|--|
| 1.Title of<br>Security<br>(Instr. 3)                 | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |   |  |
| Common   |   |  | Code V                                  | Amount  | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       |   |  |
| Units<br>(Limited<br>Partner<br>Interests)           | 09/27/2018                              |  | P <u>(1)</u>                            | 8,800   | A                | \$<br>17.95<br>(2)   | 5,079,332  | D |  |
| Common<br>Units<br>(Limited<br>Partner<br>Interests) | 09/28/2018                              |  | P(1)                                    | 4,700   | A                | \$<br>17.97<br>(2)   | 5,084,032  | D |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | Securities<br>Acquired<br>(A) or<br>Disposed |                     | ate                | Secur | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans |
|---|---|---|---|---------------------------------------|--|---------------------|--------------------|-------|--|---|---|
|   |   |   |   |                                       | of (D)<br>(Instr. 3,<br>4, and 5)            |                     |                    |       |  |   | (Instr  |
|   |   |   |   | Code V                                | (A) (D)                                      | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |   |

#### **Reporting Owners**

| Reporting Owner Name / Address      | Relationships |           |         |       |  |  |
|-------------------------------------|---------------|-----------|---------|-------|--|--|
| . 0                                 | Director      | 10% Owner | Officer | Other |  |  |
| CONSOL Energy Inc.                  |               |           |         |       |  |  |
| 1000 CONSOL ENERGY DRIVE, SUITE 100 | X             | X         |         |       |  |  |
| CANONSBURG, PA 15317                |               |           |         |       |  |  |

## **Signatures**

/s/ Martha A. Wiegand, General Counsel & Secretary

10/01/2018

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The purchases were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on September 14, 2018.
  - The price reported in Column 4 is a weighted average price. The Common Units purchased on September 27, 2018 were purchased in multiple transactions at prices ranging from \$17.90 to \$18.00, inclusive. The Common Units purchased on September 28, 2018 were
- (2) purchased in multiple transactions at prices ranging from \$17.95 to \$18.00, inclusive. The reporting person undertakes to provide to CONSOL Coal Resources LP, any security holder of CONSOL Coal Resources LP, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of Common Units purchased at each separate price within the ranges set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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