FISHMAN ROBERT S

Form 4

November 09, 2018

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

Number:

3235-0287

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January 31, 2005

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obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * FISHMAN ROBERT S

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

CORCEPT THERAPEUTICS INC

[CORT]

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

X_ Officer (give title below)

Director

10% Owner Other (specify

(Zip)

11/07/2018

Chief Medical Officer

C/O CORCEPT THERAPEUTICS INCORPORATED, 149 COMMONWEALTH DRIVE

(State)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MENLO PARK, CA 94025

(City)

1.Title of Security (Instr. 3)	any		4. Securities Acqui ionor Disposed of (D) (Instr. 3, 4 and 5)	` '	6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership
		Code V	(A) or Amount (D)	Following Reported Transaction(s) (Instr. 3 and 4)	or Indirect (Instr. 4) (I) (Instr. 4)
Common Stock	11/07/2018	M <u>(1)</u>	8,000 A \$	3.51 8,000	D
Common Stock	11/07/2018	S(1)	8,000 D 13	3.7359 0 <u>)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Acqu (A) o Disp (D)	rities nired or osed of r. 3, 4,	6. Date Exer Expiration D (Month/Day)	ate	7. Title and a Underlying S (Instr. 3 and	Securities
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 3.51	11/07/2018		M <u>(1)</u>		8,000	(3)	09/29/2025	Common Stock	8,000

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FISHMAN ROBERT S C/O CORCEPT THERAPEUTICS INCORPORATED 149 COMMONWEALTH DRIVE MENLO PARK, CA 94025

Chief Medical Officer

(In

Signatures

/s/ G. Charles Robb, Attorney-in-Fact for Robert S. Fishman

11/08/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These transactions were made pursuant to the terms of a 10b5-1 plan in effect at the time of sale of the shares.
- (2) Represents the weighted average sale price for the entire number of shares sold. The actual sale prices range from \$13.36 to \$13.93 per share. Detailed information on the exact number of shares sold at each sale price can be obtained from the issuer upon request.
- Shares subject to this stock option vest and become exercisable at the rate of 25% of the total number at the first anniversary of September 28, 2015. Thereafter, 1/48th of the total number of shares subject to this option grant vests and becomes exercisable on each monthly anniversary, so that the total number of shares subject to this option becomes fully vested and exercisable on September 28, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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