

FARAHI BOB

Form 4

December 13, 2017

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
FARAHI BOB

2. Issuer Name **and** Ticker or Trading
Symbol

MONARCH CASINO & RESORT
INC [MCRI]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

MONARCH CASINO & RESORT
INC, 3800 S. VIRGINIA STREET

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
12/11/2017

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

RENO, NV 89502

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--------|------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | |
| Common Stock | 12/11/2017 | | S | | 6,000 | D | \$ 46.47 | 390,316 | D |
| Common Stock | 12/12/2017 | | S | | 10,000 | D | \$ 46.71 | 390,316 | D |
| Common Stock | | | | | | | | 390,316 | D |
| Common Stock | | | | | | | | 435,000 | I by trust |
| Common Stock | | | | | | | | 434,626 | I by trust |

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Common Stock 100,000 I by trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|---|--|---|---|--------------------------------------|--|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Options/Right to Buy | \$ 45.32 | | | | | 11/01/2020 11/01/2027 | Common Stock 33,333 |
| Options/Right to Buy | \$ 23.08 | | | | | 11/01/2019 11/01/2026 | Common Stock 33,333 |
| Options/Right to Buy | \$ 17.62 | | | | | 10/21/2018 10/21/2025 | Common Stock 33,334 |
| Options/Right to Buy | \$ 12.32 | | | | | 10/21/2017 10/21/2024 | Common Stock 33,334 |
| Options/Right to Buy | \$ 21.71 | | | | | 10/21/2016 10/21/2023 | Common Stock 33,334 |
| Options/Right to Buy | \$ 8.56 | | | | | 10/09/2015 10/09/2022 | Common Stock 33,334 |
| Options/Right to Buy | \$ 9.33 | | | | | 10/21/2014 10/21/2021 | Common Stock 33,334 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

X

FARAHI BOB
MONARCH CASINO & RESORT INC
3800 S. VIRGINIA STREET
RENO, NV 89502

President

Signatures

Bob Farahi

12/13/2017

 Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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