CIENA CORP Form 8-K/A January 16, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, DC 20549

FORM 8 K/A

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of report (Date of earliest event reported): March 23, 2017

Ciena Corporation

(Exact Name of Registrant as Specified in Its Charter)

Delaware

(State or Other Jurisdiction of Incorporation)

001-36250

23-2725311

(Commission File Number)

(IRS Employer Identification No.)

7035 Ridge Road, Hanover, MD 21076 (Address of Principal Executive Offices) (Zip Code)

(410) 694-5700

(Registrant's Telephone Number, Including Area Code)

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

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- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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#### ITEM 5.07 - SUBMISSION OF MATTERS TO A VOTE OF SECURITY HOLDERS

As previously reported on a Form 8-K filed on March 27, 2017 (the "Prior 8-K"), at the 2017 annual meeting of stockholders of Ciena Corporation ("Ciena"), Ciena's stockholders voted to approve holding the stockholder advisory vote on named executive officer compensation every year.

In accordance with Ciena's Board of Directors' recommendation and based on the results of the vote of Ciena's stockholders, the Board of Directors has determined that Ciena will hold a stockholder advisory vote on named executive officer compensation every year.

The information reported under Item 5.07 of the Prior 8-K is hereby incorporated by reference.

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#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

# Ciena Corporation

Date: January 16, 2018 By: /S/ David M. Rothenstein

David M. Rothenstein

Senior Vice President, General Counsel and

Secretary