Edgar Filing: ERWIN ROBERT L - Form 4

ERWIN ROBE	RT L								
October 31, 201	1								
FORM 4	4		GEGU			GUANGE			PPROVAL
	UNITED	STATES		RITIES A shington			COMMISSIO	N OMB Number:	3235-0287
if no longer subject to Section 16. Form 4 or Form 5	subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP (Section 16. SECURITIES Form 4 or							Estimated burden hoi response	urs per
obligations may continue <i>See</i> Instructio 1(b).	e. Section 17((a) of the l	Public U	Itility Hol	ding Cor		of 1935 or Secti		
(Print or Type Resp	oonses)								
1. Name and Addr ERWIN ROBE		Person [*]	Symbol	er Name an nc. [IBIO]		Trading	Issuer	of Reporting Per	
(Last)	(First) (Middle)		of Earliest T			(Ch	eck all applicabl	.e)
C/O NOVICI E VACA VALLE			(Month/ 10/21/2	Day/Year) 2011			Director X Officer (gi below)		% Owner ner (specify
VACAVILLE,	(Street) CA 95688			endment, D onth/Day/Yea	-	ıl		Joint/Group Fili y One Reporting P y More than One R	Person
(City)	(State)	(Zip)	Tab	ole I - Non-J	Derivative	Securities A	cquired, Disposed	of, or Beneficia	ally Owned
	Fransaction Date onth/Day/Year)	2A. Deemo Execution any (Month/Da	ed Date, if	3. Transactic Code (Instr. 8)	4. Securit mAcquired Disposed (Instr. 3, 4)	ies (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect
Reminder: Report o	on a separate line	e for each cl	ass of sec	urities bene	Perso inform requir	ns who res nation cont red to resp ays a curre	or indirectly. spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not orm	SEC 1474 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise	:	any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

Edgar Filing: ERWIN ROBERT L - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 1.96	10/21/2011		А		300,000		<u>(1)</u>	10/21/2021	Common Stock	300,000

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer	Other			
ERWIN ROBERT L C/O NOVICI BIOTECH LLC 3333 VACA VALLEY PARKWAY VACAVILLE, CA 95688			President				
Signatures							
/s/ Robert I							

/s/ Robert L. Erwin	10/31/2011
<u>**</u> Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option vests in five equal annual installments beginning on the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.