#### Edgar Filing: HONEYWELL INTERNATIONAL INC - Form 3

#### HONEYWELL INTERNATIONAL INC

Form 3

April 14, 2014

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement HONEYWELL INTERNATIONAL INC [HON] A Szlosek Thomas A (Month/Day/Year) 04/07/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 101 COLUMBIA ROAD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) MORRISTOWN, NJÂ 07962 Form filed by More than One Sr.VP and CFO Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â D Common Stock 4,196 Common Stock 408.952 I Held in 401(k) plan Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) Derivative Security or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Security: Derivative

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|  | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares | Security | Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) |   |
|--|---------------------|--------------------|-----------------|----------------------------------|----------|--|---|
| Employee Stock Options (right to buy)  | (1)                 | 02/25/2020         | Common<br>Stock | 20,000                           | \$ 40.17 | D  | Â |
| Employee Stock Options (right to buy)  | (2)                 | 02/24/2021         | Common<br>Stock | 40,000                           | \$ 57.05 | D  | Â |
| Employee Stock Options (right to buy)  | (3)                 | 02/28/2022         | Common<br>Stock | 100,000                          | \$ 59.87 | D  | Â |
| Employee Stock Options (right to buy)  | (4)                 | 02/26/2023         | Common<br>Stock | 40,000                           | \$ 69.77 | D  | Â |
| Employee Stock Options (right to buy)  | (5)                 | 04/08/2023         | Common<br>Stock | 25,000                           | \$ 73.45 | D  | Â |
| Employee Stock Options (right to buy)  | (6)                 | 02/26/2024         | Common<br>Stock | 100,000                          | \$ 93.97 | D  | Â |
| Restricted Stock Units                 | (7)                 | (7)                | Common<br>Stock | 7,370                            | \$ (8)   | D  | Â |
| Restricted Stock Units                 | (9)                 | (9)                | Common<br>Stock | 100,000                          | \$ (8)   | D  | Â |
| Restricted Stock Units                 | (10)                | (10)               | Common<br>Stock | 10,000                           | \$ (8)   | D  | Â |
| Supplemental Savings<br>Plan Interests | (11)                | (11)               | Common<br>Stock | 2,414.33                         | \$ (11)  | D  | Â |

# **Reporting Owners**

| Reporting Owner Name / Address                                | Relationships |           |               |       |  |  |
|---|---------------|-----------|---------------|-------|--|--|
| 1   | Director      | 10% Owner | Officer       | Other |  |  |
| Szlosek Thomas A<br>101 COLUMBIA ROAD<br>MORRISTOWN, NJ 07962 | Â             | Â         | Sr.VP and CFO | Â     |  |  |

# **Signatures**

Jeffrey N. Neuman FOR Thomas A.
Szlosek
\*\*Signature of Reporting Person

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Employee Stock Options were granted under the Corporation's 2006 Stock Incentive Plan and are fully vested.
- The Employee Stock Options were granted under the Corporation's 2006 Stock Incentive Plan with 30,000 options fully vested and 10,000 options vesting on February 25, 2015.

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- (3) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 50,000 options fully vested and 25,000 options that will vest each of March 1, 2015 and March 1, 2016.
- (4) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 10,000 options fully vested and 10,000 options that will vest on each of February 27, 2015, February 27, 2016 and February 27, 2017.
- (5) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan and vest in four equal annual installments with the first installment of 6,250 options vesting on April 9, 2014.
- (6) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan and vest in four equal annual installments with the first installment of 25,000 options vesting on February 27, 2015.
- (7) The Restricted Stock Units were granted under the Corporation's 2006 Stock Incentive Plan with 3,630 units fully vested and 3,630 units vesting on February 26, 2015 and 3,740 units vesting on February 26, 2017.
- (8) Instrument converts to common stock on a one-for one basis.
- (9) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with 50,000 units vesting on each of March 1, 2015 and March 1, 2016.
- (10) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on February 27, 2016.
- (11) Instrument converts to common stock on a one-for-one basis and reflects phantom shares of common stock represented by Company contributions to my account under the Executive Supplemental Savings Plan under rule 16b-3 on April 7, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.