

Edgar Filing: AMERICAN AXLE & MANUFACTURING HOLDINGS INC - Form 4

AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form 4

July 10, 2002

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FORM 4  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549

[ ] Check box if no longer  
subject to Section 16. Form  
4 or Form 5 obligations may  
continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the  
Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

|  |         |       |  |  |                    |
|--|---------|-------|--|--|--------------------|
| 1. Name and Address of Reporting Person(1)                               |         |       | 2. Issuer Name and Ticker or Trading Symbol                                |  | 6. Re              |
| Dauch Annuity Trust 2001   |         |       | American Axle & Manufacturing Holdings, Inc.                               |  |                    |
| (Last) (First) (Middle)  |         |       | (NYSE-AXL)   |  |                    |
| c/o American Axle & Manufacturing Holdings, Inc.<br>1840 Holbrook Avenue |         |       | 3. IRS Identification Number of Reporting Person, if an Entity (Voluntary) | 4. Statement for Month/Year                    |                    |
| (Street)   |         |       |  | 6/02   |                    |
| Detroit  | MI      | 48212 |  | 5. If Amendment, Date of Original (Month/Year) | 7. In (C) X F -- R |
| (City)   | (State) | (Zip) |  |  |                    |

\* If the form is filled by more than one reporting person, see instruction 4(b)(v).

TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (A) or (D) | Price |
|---------------------------------|--------------------------------------|--------------------------------|---|------------|-------|
| Common Stock                    | 6/14/02                              | J                              | 2,138,185   | D          | (1)   |
|                                 |                                      |                                |   |            |       |
|                                 |                                      |                                |   |            |       |
|                                 |                                      |                                |   |            |       |
|                                 |                                      |                                |   |            |       |
|                                 |                                      |                                |   |            |       |
|                                 |                                      |                                |   |            |       |
|                                 |                                      |                                |   |            |       |
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Explanation of Responses:

- (1) In June 2002, the reporting person completed the transfer of 2,138,185 shares of common stock from the Dauch Annuity Trust 2001 to the Sandra J. Dauch Gift Trust. Richard E. Dauch, Co-Founder, Chairman of the Board of Directors and Chief Executive Officer is trustee of Dauch Annuity Trust 2001. Mr. Dauch's spouse is trustee of the Sandra J. Dauch Gift Trust. After the transfers referenced above, no shares of common stock are owned by the Dauch Annuity Trust 2001.

/s/ Patrick S. Lancaster                      7/10/02  
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Signature of Reporting Person              Date  
Attorney in fact

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note File three copies of this form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.

(Print or Type Responses)